

# NUMERICAL INVARIANTS FOR HYPER-KÄHLER MANIFOLDS

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ABSTRACT. We prove some elementary results on the various constants attached to a hyper-Kähler manifold, in particular, in the presence of a class that is isotropic for the Beauville–Bogomolov–Fujiki form.

## 1. INTRODUCTION

We begin by recalling a few standard facts about hyper-Kähler manifolds.

**1.1. The Beauville–Bogomolov–Fujiki form.** The *Beauville–Bogomolov–Fujiki* form of a hyper-Kähler manifold  $X$  of dimension  $2n$  is usually defined as a canonical integral nondivisible<sup>1</sup> quadratic form  $q_X$  on the free abelian group  $H^2(X, \mathbf{Z})$  which satisfies

$$\forall \alpha \in H^2(X, \mathbf{Z}) \quad \int_X \alpha^{2n} = c_X q_X(\alpha)^n,$$

where  $c_X$  (the *Fujiki constant*) is a positive rational number. Both  $q_X$  and  $c_X$  are invariant by deformation.

Assume  $q_X(\alpha) = 0$ . Then one can show  $\alpha^{n+1} = 0$  and, by comparing the coefficients of  $t^n$  in the relation

$$\int_X (t\alpha + \beta)^{2n} = c_X q_X(t\alpha + \beta)^n = c_X (2tb_X(\alpha, \beta) + q_X(\beta))^n,$$

we get

$$(1) \quad \forall \beta \in H^2(X, \mathbf{R}) \quad \frac{1}{2^n} \binom{2n}{n} \int_X \alpha^n \beta^n = c_X b_X(\alpha, \beta)^n.$$

**1.2. The Hirzebruch–Riemann–Roch theorem.** The Hirzebruch–Riemann–Roch theorem takes the following form on hyper-Kähler manifolds.

**Theorem 1.1.** *Let  $X$  be a hyper-Kähler manifold of dimension  $2n$ . There exists a degree- $n$  polynomial  $P_{RR,X} \in \mathbf{Q}[T]$ , called the Huybrechts–Riemann–Roch polynomial, such that, for every holomorphic line bundle  $L$  on  $X$ , one has*

$$\chi(X, L) = P_{RR,X}(q_X(c_1(L))).$$

The polynomial  $P_{RR,X}$  has the following properties:

- (a) its constant term is  $\chi(X, \mathcal{O}_X) = n + 1$ ;
- (b) its leading term is  $\frac{c_X}{(2n)!} T^n$ ;

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<sup>1</sup>By this, we mean that the associated bilinear form  $b_X$  is integral and nondivisible on  $H^2(X, \mathbf{Z})$ .

- (c) it is invariant by deformation;
- (d) its coefficients are all positive (Jiang).

*Example 1.2.* The polynomial  $P_{RR,X}$  has been computed for all known examples:

- When  $X$  is of  $\text{K3}^{[n]}$  deformation type, we have

$$P_X(T) = \binom{\frac{1}{2}T + n + 1}{n}.$$

The formula is the same (with  $n = 5$ ) for the OG10 deformation type (Ríos Ortiz).

- When  $X$  is of  $\text{Kum}_n$  deformation type, we have

$$P_X(T) = (n + 1) \binom{\frac{1}{2}T + n}{n}.$$

The formula is the same (with  $n = 3$ ) for the OG6 deformation type (Ríos Ortiz).

The next two lemmas are elementary.

**Lemma 1.3.** *Let  $X$  be a hyper-Kähler manifold. For every class  $\alpha \in H^2(X, \mathbf{Z})$ , one has  $P_{RR,X}(q_X(\alpha)) \in \mathbf{Z}$ .*

*Proof.* Since the period map is surjective (Huybrechts), the class  $\alpha$  becomes the first Chern class of some holomorphic line bundle on a deformation  $X'$  of  $X$ , hence  $P_{RR,X'}(q_{X'}(\alpha)) \in \mathbf{Z}$ . Since the polynomial  $P_{RR,X}$  and the form  $q_X$  are deformation invariant, the result follows.  $\square$

**Lemma 1.4.** *Let  $X$  be a hyper-Kähler manifold of dimension  $2n$ . Assume that there is a class  $l \in H^2(X, \mathbf{Z})$  such that  $q_X(l) = 0$ . For every  $\mathbf{m} \in H^2(X, \mathbf{Z})$ , the number*

$$a(l, \mathbf{m}) := \frac{1}{n!} \int_X l^n \mathbf{m}^n$$

*is an integer.*

*Proof.* Set

$$\forall k \in \mathbf{Z} \quad P(k) := P_{RR,X}(q_X(kl + \mathbf{m})) = P_{RR,X}(2kb_X(l, \mathbf{m}) + q_X(\mathbf{m})).$$

Then  $P$  is a polynomial of degree  $n$  whose leading coefficient is (use property (b) above and (1))

$$(2) \quad \frac{c_X}{(2n)!} (2b_X(l, \mathbf{m}))^n = \frac{1}{(n!)^2} \int_X l^n \mathbf{m}^n = \frac{a(l, \mathbf{m})}{n!}.$$

By Lemma 1.3, the polynomial  $P$  takes integral values on integers, hence  $a(l, \mathbf{m})$  is an integer.  $\square$

## 2. PROPERTIES OF THE HUYBRECHTS–RIEMANN–ROCH POLYNOMIAL

**2.1. Coefficients of the Huybrechts–Riemann–Roch polynomial.** For each positive integer  $n$ , we define the positive integer

$$C_n := \gcd_{r_0, \dots, r_n \in \mathbf{Z}} \prod_{0 \leq j < k \leq n} (r_j^2 - r_k^2).$$

One computes easily  $C_1 = 1$ ,  $C_2 = 12$ , and, with a computer,

$$\begin{aligned} C_3 &= 2^5 \cdot 3^3 \cdot 5, \\ C_4 &= 2^{11} \cdot 3^5 \cdot 5^2 \cdot 7, \\ C_5 &= 2^{18} \cdot 3^9 \cdot 5^4 \cdot 7^2, \\ C_6 &= 2^{27} \cdot 3^{14} \cdot 5^6 \cdot 7^3 \cdot 11, \\ C_7 &= 2^{37} \cdot 3^{19} \cdot 5^8 \cdot 7^5 \cdot 11^2 \cdot 13. \end{aligned}$$

Let us write

$$P_{RR,X}(T) =: a_n T^n + \cdots + a_1 T + a_0$$

with  $a_0 = n + 1$ .

**Proposition 2.1.** *For each  $i \in \{0, \dots, n\}$ , the coefficient  $a_i$  of the polynomial  $P_{RR,X}(T)$  belongs to  $\frac{1}{2^i C_n} \mathbf{Z}$  (and to  $\frac{1}{C_n} \mathbf{Z}$  if the quadratic form  $q_X$  is not even). In particular,  $c_X \in \frac{(2n)!}{2^n C_n} \mathbf{Z}$ .*

*Proof.* Let  $q$  be an integer represented by the quadratic form  $q_X$ . For all  $r_0, \dots, r_n \in \mathbf{Z}$ , the integers  $r_0^2 q, \dots, r_n^2 q$  are also represented by  $q_X$ , so that  $P_{RR,X}(r_j^2 q) = \sum_{i=0}^n a_i r_j^{2i} q^i$  is an integer for all  $j \in \{0, \dots, n\}$ . The corresponding linear system with unknowns  $a_0 q^0, \dots, a_n q^n$  has a Vandermonde matrix  $(r_j^{2i})$ , so we get

$$a_i q^i \prod_{0 \leq j < k \leq n} (r_j^2 - r_k^2) \in \mathbf{Z}$$

for all  $i \in \{0, \dots, n\}$ , which implies  $a_i q^i C_n \in \mathbf{Z}$ . Since the integral bilinear form associated with  $q_X$  is not divisible, the gcd of all integers  $q$  represented by  $q_X$  is either 2 (if the form  $q_X$  is even) or 1 (if it is not) and the proposition follows.  $\square$

In particular, we get  $c_X \in \frac{1}{2} \mathbf{Z}$  when  $n = 2$ , and  $c_X \in \frac{1}{48} \mathbf{Z}$  when  $n = 3$ . For any  $n$ , Proposition 2.1 gives the lower bound  $c_X \geq \frac{(2n)!}{2^n C_n}$ , but what we are really interested in, in order to prove boundedness properties of hyper-Kähler manifolds, would be to find an upper bound on  $c_X$ .

*Remark 2.2.* Assume that  $q_X$  represents all large enough even numbers (this is the case for all known examples). Then  $P_{RR,X}(T)$  takes integral values on all large enough even numbers and this implies that its leading coefficient is in  $\frac{1}{n! 2^n} \mathbf{Z}$ , hence  $c_X \in (2n - 1)! \mathbf{Z}$ .

**2.2. Nieper's normalization of the Beauville–Bogomolov form.** It is nice to work with an integral quadratic form such as  $q_X$ , but this normalization introduces the constant  $c_X$  about which little is known. Nieper-Wißkirchen introduced a different, more intrinsic, normalization by defining a rational quadratic form  $\lambda_X$  on  $H^2(X, \mathbf{Q})$  by the formula

$$\forall \alpha \in H^2(X, \mathbf{Q}) \quad \frac{1}{(2n)!} \int_X \alpha^{2n} = A_X \lambda_X(\alpha)^n, \quad \text{where } A_X := \int_X \text{td}^{1/2}(X).$$

The quadratic form  $\lambda_X$  is a positive rational multiple of the quadratic form  $q_X$  and we define a positive rational constant  $n_X$  by

$$2q_X = n_X \lambda_X,$$

so that  $c_X n_X^n = 2^n (2n)! A_X$ . One has (Jiang)

$$0 < A_X < 1.$$

*Example 2.3.* When  $X$  is of K3<sup>[n]</sup> or OG10 deformation type, one has  $c_X = (2n-1)!!$ ,  $n_X = n+3$ , and  $A_X = \frac{(n+3)^n}{n!2^{2n}}$ .

When  $X$  is of Kum<sub>n</sub> or OG6 deformation type, one has  $c_X = (n+1)(2n-1)!!$ ,  $n_X = n+1$ , and  $A_X = \frac{(n+1)^{n+1}}{n!2^{2n}}$ .

The Hirzebruch–Riemann–Roch theorem takes the form

$$\chi(X, L) = Q_{RR,X}(\lambda_X(c_1(L))),$$

where  $Q_{RR,X}(T) = P_{RR,X}(\frac{1}{2}n_X T)$  is a polynomial with positive rational coefficients which was expressed by Nieper in terms of the Chern numbers of  $X$ . The formula is

$$(3) \quad Q_{RR,X}(T) = \int_X \exp\left(-\sum_{k=1}^{+\infty} \frac{B_{2k}}{2k} \text{ch}_{2k}(X) T_{2k}\left(\sqrt{\frac{1}{4}T+1}\right)\right),$$

where

- the  $B_{2k}$  are the Bernoulli numbers;
- the  $\text{ch}_{2k} \in H^{2k,2k}(X)$  are the homogeneous components of the Chern character of  $X$ ;
- the  $T_{2k}(Y)$  are the (even) Chebyshev polynomials, defined by  $T_{2k}(\cos \theta) = \cos 2k\theta$ .

We prove curious symmetry relations for the Huybrechts–Riemann–Roch polynomials  $P_{RR,X}(T)$  and  $Q_{RR,X}(T)$  for which we have no geometric explanations.

**Proposition 2.4.** *The polynomial  $Q_{RR,X}(T)$  satisfies the symmetry relation*

$$Q_{RR,X}(-T-4) = (-1)^n Q_{RR,X}(T).$$

*Equivalently,*

$$P_{RR,X}(-T-2n_X) = (-1)^n P_{RR,X}(T).$$

When  $n$  is odd,  $-n_X$  is therefore a negative rational root of  $P_{RR,X}(T)$  (in all known examples, it is actually an integer).

*Proof.* Let  $P_k$  be the degree- $k$  polynomial such that  $P_k(T) = T_{2k}\left(\sqrt{\frac{1}{4}T+1}\right)$ . Set  $\cos \theta := \sqrt{\frac{1}{4}T+1}$ , so that  $T = 4(\cos^2 \theta - 1) = -4\sin^2 \theta$ . We compute

$$\begin{aligned} P_k(-T-4) &= T_{2k}\left(\sqrt{-\frac{1}{4}T}\right) = T_{2k}(\sin \theta) = T_{2k}(\cos(\theta - \frac{\pi}{2})) = \cos(2k(\theta - \frac{\pi}{2})) \\ &= (-1)^k \cos 2k\theta = (-1)^k T_{2k}(\cos \theta) = (-1)^k T_{2k}\left(\sqrt{\frac{1}{4}T+1}\right) = (-1)^k P_k(T). \end{aligned}$$

By (3), the polynomial  $Q_{RR,X}(T)$  is a linear combination of polynomials of the type

$$P_{k_1}(T) \cdots P_{k_r}(T) \int_X \text{ch}_{2k_1}(X) \cdots \text{ch}_{2k_r}(X)$$

for  $k_1 + \cdots + k_r = n$ . The proposition therefore follows.  $\square$

**Corollary 2.5.** *The polynomial  $P_{RR,X}(T)$  is a linear combination with rational coefficients of the polynomials  $(T+n_X)^{n-2j}$ , for  $0 \leq j \leq n/2$ . In particular, one has*

$$P_{RR,X}(T) = \frac{c_X}{(2n)!} (T+n_X)^n + O(T^{n-2}).$$

When  $n = 2$ , we have

$$P_{RR,X}(T) = \frac{c_X}{24}T^2 + \frac{c_X n_X}{12}T + 3,$$

and when  $n = 3$ ,

$$P_{RR,X}(T) = \frac{c_X}{720}(T + n_X)^3 + \left(\frac{4}{n_X} - \frac{c_X}{720}n_X^2\right)(T + n_X).$$

*Proof.* The first statement follows from the proposition, and the first relation from the fact that the leading coefficient of  $P_{RR,X}(T)$  is  $\frac{c_X}{(2n)!}$ . This immediately gives the case  $n = 2$ . When  $n = 3$ , we write

$$P_{RR,X}(T) = \frac{c_X}{720}(T + n_X)^3 + b(T + n_X),$$

where  $b \in \mathbf{Q}$  satisfies

$$\frac{c_X}{720}n_X^3 + bn_X = 4,$$

which gives

$$b = \frac{4}{n_X} - \frac{c_X}{720}n_X^2$$

and implies the result.  $\square$

### 3. THE HUYBRECHTS–RIEMANN–ROCH POLYNOMIAL IN THE PRESENCE OF AN ISOTROPIC CLASS

**3.1. Lagrangian fibrations.** Let  $X$  be a hyper-Kähler manifold of dimension  $2n$  with a fibration  $f: X \rightarrow \mathbf{P}^n$ . The class  $l := c_1(f^*\mathcal{O}_{\mathbf{P}^n}(1)) \in H^2(X, \mathbf{Z})$  satisfies  $q_X(l) = 0$ . Let  $\mathbf{m} \in H^2(X, \mathbf{Z})$  be another class, not necessarily of type  $(1, 1)$ ; we assume  $q_X(l, \mathbf{m}) > 0$ .

Any smooth fiber  $X_b := f^{-1}(b)$  is a Lagrangian complex torus of dimension  $n$  (Matsushita). One shows that the hyperplane  $l^\perp$  is contained in the kernel of the restriction map  $r_b: H^2(X, \mathbf{C}) \rightarrow H^2(X_b, \mathbf{C})$ . Since the restriction of a Kähler class on  $X$  is a Kähler class on  $X_b$ , the map  $r_b$  has rank exactly 1 and its image is generated by a Kähler class. The rational class  $r_b(\mathbf{m})$  is a positive multiple of a Kähler class, hence is an ample class on  $X_b$ . In particular,  $X_b$  is an abelian variety and the “degree” of the polarization  $r_b(\mathbf{m}) = \mathbf{m}|_{X_b}$  is the positive integer

$$\frac{1}{n!} \int_{X_b} (\mathbf{m}|_{X_b})^n = \frac{1}{n!} \int_X l^n \mathbf{m}^n = a(l, \mathbf{m})$$

already considered above.

We will concentrate on the case  $a(l, \mathbf{m}) = 1$ , when some Kähler class on  $X$  induces a principal polarization on the smooth fibers. The main idea of the proof of the result below comes from Ríos Ortiz, who used it to compute the Huybrechts–Riemann–Roch polynomial for hyper-Kähler manifolds of K3<sup>[n]</sup> or OG10 deformation type, since they have deformations with a Lagrangian fibration of this type.

**Theorem 3.1** (Ríos Ortiz, Debarre–Huybrechts–Macrì–Voisin). *Let  $X$  be a hyper-Kähler manifold of dimension  $2n$  with a Lagrangian fibration  $f: X \rightarrow \mathbf{P}^n$ . Set  $l := c_1(f^*\mathcal{O}_{\mathbf{P}^n}(1)) \in H^2(X, \mathbf{Z})$  and assume that there exists  $\mathbf{m} \in H^2(X, \mathbf{Z})$  such that  $a(l, \mathbf{m}) = 1$ . Then,  $b_X(l, \mathbf{m}) = \pm 1$ , the quadratic form  $q_X$  is even,  $c_X = (2n - 1)!!$ ,*

$$P_{RR,X}(T) = \binom{\frac{T}{2} + n + 1}{n},$$

and the sublattice  $\mathbf{Z}l \oplus \mathbf{Z}m$  of  $(H^2(X, \mathbf{Z}), q_X)$  is isomorphic to a hyperbolic plane.

It is conjectured that a class  $l \in H^2(X, \mathbf{Z})$  with  $q_X(l) = 0$  (which always exists when  $b_2(X) \geq 5$  by Myers' theorem) should, after deformation, come from a Lagrangian fibration with base  $\mathbf{P}^n$  as above. It is then natural to make the following, much more restrictive, conjecture.

*Conjecture 3.2* (Debarre–Huybrechts–Macrì–Voisin). Let  $X$  be a hyper-Kähler manifold of dimension  $2n$  with classes  $l, m \in H^2(X, \mathbf{Z})$  such that

$$\int_X l^{2n} = 0 \quad \text{and} \quad \int_X l^n m^n = n!$$

or, equivalently  $q_X(l) = 0$  and  $a(l, m) = 1$ . Then,

$$P_{RR,X}(T) = \binom{\frac{1}{2}T + n + 1}{n}.$$

Knowing that  $P_{RR,X}$  has this form already implies the bound  $b_2(X) \leq n + 17 + \frac{12}{n+1}$  on the second Betti number (Benedetti–Song).

We may even make the more ambitious following conjecture (verified for all known examples of hyper-Kähler manifolds and proved for  $n = 2$  by Debarre–Huybrechts–Macrì–Voisin).

*Conjecture 3.3*. Let  $X$  be a hyper-Kähler manifold of dimension  $2n$  with classes  $l, m \in H^2(X, \mathbf{Z})$  such that

$$\int_X l^{2n} = 0 \quad \text{and} \quad \int_X l^n m^n = an!, \quad \text{with } a \in \{1, \dots, n\}.$$

Then  $a = 1$  and  $X$  is of  $\text{K3}^{[n]}$  or of OG10 deformation type.

Our main result is the following result which almost proves Conjecture 3.2 in dimension 6.

**Proposition 3.4.** *Let  $X$  be a hyper-Kähler manifold of dimension 6 with classes  $l, m \in H^2(X, \mathbf{Z})$  such that  $q_X(l) = 0$  and  $a(l, m) = 1$ . We have  $b_X(l, m) = 1$ , the quadratic form  $q_X$  is even, the sublattice  $\mathbf{Z}l \oplus \mathbf{Z}m$  of  $(H^2(X, \mathbf{Z}), q_X)$  is isomorphic to a hyperbolic plane, the Fujiki constant is  $c_X = 15$ , and*

$$P_{RR,X}(T) = \binom{\frac{T}{2} + 4}{3} - \frac{6 - n_X}{16} T^2,$$

where  $n_X \in \{2, 6\}$ .

According to Conjecture 3.2, the case  $n_X = 2$  should not occur. We will prove this proposition in the last section.

Again when  $n = 3$ , we get a much weaker result in the case  $a(l, m) = 2$ , which by Conjecture 3.3 should not occur at all.

**Proposition 3.5.** *Let  $X$  be a hyper-Kähler manifold of dimension 6 with classes  $l, m \in H^2(X, \mathbf{Z})$  such that  $q_X(l) = 0$  and  $a(l, m) = 2$ . We have  $b_X(l, m) = 1$ , the quadratic form  $q_X$  is even, the Fujiki constant is  $c_X = 30$ , and*

$$P_{RR,X}(T) = \frac{1}{24} T^3 + \frac{n_X}{8} T^2 + \left( \frac{4}{n_X} + \frac{n_X^2}{12} \right) T + 4,$$

where  $n_X \in \{1, 2, 3, 4\}$ .

**3.2. Divisibility properties.** Let  $X$  be as usual a hyper-Kähler manifold of dimension  $2n$  and let  $l, m \in H^2(X, \mathbf{Z})$  such that  $q_X(l) = 0$  and  $a(l, m) \in \mathbf{Z}_{>0}$ . By (2), we have

$$(4) \quad c_X b_X(l, m)^n = a(l, m) \frac{(2n)!}{2^n n!}.$$

Using Jiang's bound, we obtain

$$n_X^n = \frac{2^n (2n)! A_X}{c_X} < \frac{2^n (2n)!}{c_X} = \frac{2^{2n} n! b_X(l, m)^n}{a(l, m)}$$

hence

$$(5) \quad n_X < 4b_X(l, m) \sqrt[n]{\frac{n!}{a(l, m)}}.$$

**Lemma 3.6.** *We have*

$$n! b_X(l, m)^n \mid a(l, m) C_n \quad (\text{and } n! 2^n b_X(l, m)^n \mid a(l, m) C_n \text{ if } q_X \text{ is not even}).$$

*Proof.* By Proposition 2.1,

$$\frac{c_X 2^n C_n}{(2n)!} = \frac{a(l, m) C_n}{n! b_X(l, m)^n}$$

is an integer (and a stronger property holds when  $q_X$  is not even). □

**Lemma 3.7.** *We have*

$$a(l, m) \left( \frac{q_X(m) + n_X}{2b_X(l, m)} - \frac{n-1}{2} \right) \in \mathbf{Z}.$$

*In particular,*

$$n_X \in \mathbf{Z} + \frac{2b_X(l, m)}{a(l, m)} \mathbf{Z}$$

so that  $n_X$  is an integer when  $a(l, m) \in \{1, 2\}$ .

*Proof.* For every  $t \in \mathbf{Z}$ , the number

$$P(t) := P_{RR, X}(q_X(tl + m)) = P_{RR, X}(2tb_X(l, m) + q_X(m))$$

is an integer. By Corollary 2.5, we have

$$\begin{aligned} P(t) &= \frac{c_X}{(2n)!} (2tb_X(l, m) + q_X(m) + n_X)^n + O(t^{n-2}) \\ &= \frac{a(l, m)}{b_X(l, m)^n 2^n n!} (2^n b_X(l, m)^n t^n + n 2^{n-1} b_X(l, m)^{n-1} (q_X(m) + n_X) t^{n-1}) + O(t^{n-2}) \\ &= \frac{a(l, m)}{n!} t^n + \frac{a(l, m)}{b_X(l, m) 2(n-1)!} (q_X(m) + n_X) t^{n-1} + O(t^{n-2}). \end{aligned}$$

This is an integer for all  $t \in \mathbf{Z}$ , hence so is

$$\begin{aligned} P(t) - a(l, m) \binom{t+n-1}{n} &= P(t) - a(l, m) \frac{t^n + \frac{n(n-1)}{2} t^{n-1}}{n!} + O(t^{n-2}) \\ &= \left( \frac{q_X(m) + n_X}{2b_X(l, m)} - \frac{n-1}{2} \right) \frac{a(l, m)}{(n-1)!} t^{n-1} + O(t^{n-2}). \end{aligned}$$

This implies the lemma. □

**3.3. Proof of Proposition 3.4.** We restrict ourselves to the case  $n = 3$  and prove Proposition 3.4 (curiously, our method gives worse results when  $n = 2$ ). We assume  $a(l, \mathbf{m}) = 1$ . Since  $C_3 = 2^5 \cdot 3^3 \cdot 5$ , we obtain from Lemma 3.6

$$b_X(l, \mathbf{m})^3 \mid 2^4 \cdot 3^2 \cdot 5 \quad (\text{and } b_X(l, \mathbf{m})^3 \mid 2 \cdot 3^2 \cdot 5 \text{ if } q_X \text{ is not even}),$$

so that  $b_X(l, \mathbf{m}) \in \{1, 2\}$  (and  $b_X(l, \mathbf{m}) = 1$  if  $q_X$  is not even).

**Assume**  $b_X(l, \mathbf{m}) = 1$ . We have  $c_X = 15$  from (4), Lemma 3.7 gives  $q_X(\mathbf{m}) + n_X \in 2\mathbf{Z}$ , and (5) gives  $n_X < 4\sqrt[3]{6} < 7.2$ , so that  $n_X \in \{1, 2, 3, 4, 5, 6, 7\}$ . Furthermore, we have, by Proposition 2.5,

$$P_{RR,X}(T) = \frac{1}{48}(T + n_X)^3 + \left(\frac{4}{n_X} - \frac{1}{48}n_X^2\right)(T + n_X).$$

For all values  $q$  taken by  $q_X$ , this must be an integer when  $T = q$ , so that

$$(6) \quad 48n_X \mid n_X(q + n_X)^3 + (192 - n_X^3)(q + n_X).$$

In particular,  $n_X \mid 192q$ . If  $n_X \in \{5, 7\}$ , this implies  $n_X \mid q$ , which is impossible because the gcd of all integers  $q$  represented by  $q_X$  is either 1 or 2. Otherwise,  $n_X \mid 12$ , hence  $16n_X \mid 192$ , hence we obtain

$$(7) \quad 16 \mid (q + n_X)^3 - n_X^2(q + n_X) = q(q + n_X)(q + 2n_X).$$

- When  $n_X = 1$ , the relation (7) is equivalent to  $q \equiv 0, 6, 8, 14, 15 \pmod{16}$ . The case  $q \equiv 15 \pmod{16}$  is impossible since  $4q$  is also represented but not in this list, hence  $q \equiv 0, 6, 8, 14 \pmod{16}$  and  $q_X$  is even. This contradicts the fact that  $q_X(\mathbf{m}) + n_X$  is even.
- When  $n_X = 2$ , the relation (7) is equivalent to  $q$  even.
- When  $n_X = 3$ , the only possible odd value is  $q \equiv 13 \pmod{16}$ . This implies that  $4q \equiv 4 \pmod{16}$  should also be represented, but 4 does not satisfy the relation (7). So  $q_X$  is even, which contradicts the fact that  $q_X(\mathbf{m}) + n_X$  is even.
- When  $n_X = 4$ , the relation (7) is equivalent to  $4 \mid q$ , which is impossible because the gcd of all integers  $q$  represented by  $q_X$  is either 1 or 2.
- When  $n_X = 6$ , the relation (7) is equivalent to  $q$  even.

All in all, we get  $n_X \in \{2, 6\}$  and  $q_X$  even.

**Assume**  $b_X(l, \mathbf{m}) = 2$ . The quadratic form  $q_X$  is even, we have  $c_X = \frac{15}{8}$  from (4), Lemma 3.7 gives  $q_X(\mathbf{m}) + n_X \in 4\mathbf{Z}$ , so that  $n_X$  is an even integer, and (5) gives  $n_X < 8\sqrt[3]{6} < 14.6$ , so that  $m_X := \frac{1}{2}n_X \in \{1, 2, 3, 4, 5, 6, 7\}$ . As above, we deduce from Proposition 2.5 that

$$\frac{1}{8 \cdot 48}(2q + 2m_X)^3 + \left(\frac{2}{m_X} - \frac{1}{8 \cdot 48}4m_X^2\right)(2q + 2m_X)$$

is an integer for all values  $2q$  taken by  $q_X$ , so that

$$48m_X \mid m_X(q + m_X)^3 + (192 - m_X^3)(q + m_X).$$

This is “the same” relation as (6) and the discussion above allows us to conclude that  $q$  must be even, so that all values taken by  $q_X$  are divisible by 4. This is impossible because the gcd of all values taken by  $q_X$  is 2. So this case does not occur.

*Remark 3.8.* It follows from results of Benedetti–Song that when  $n_X = 6$ , we have  $b_2(X) \leq 23$  and there is equality if and only if  $c_2(X) \in SH^2(X, \mathbf{R}) \subset H^4(X, \mathbf{R})$ . In the case  $n_X = 2$ , one has  $c_2(X) \notin SH^2(X, \mathbf{R})$ .



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