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## REPRESENTATIONS OF $SO(k, \mathbb{C})$ ON HARMONIC POLYNOMIALS ON A NULL CONE

OLIVIER DEBARRE AND TUONG TON-THAT

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**ABSTRACT.** The linear action of the group  $SO(k, \mathbb{C})$  on the vector space  $\mathbb{C}^{n \times k}$  extends to an action on the algebra of polynomials on  $\mathbb{C}^{n \times k}$ . The polynomials that are fixed under this action are called  $SO(k, \mathbb{C})$ -invariant. The  $SO(k, \mathbb{C})$ -harmonic polynomials are common solutions of the  $SO(k, \mathbb{C})$ -invariant differential operators. The ideal of all  $SO(k, \mathbb{C})$ -invariants without constant terms, the null cone of this ideal, and the orbits of  $SO(k, \mathbb{C})$  on this null cone are studied in great detail. All irreducible holomorphic representations of  $SO(k, \mathbb{C})$  are concretely realized on the space of  $SO(k, \mathbb{C})$ -harmonic polynomials.

### 1. INTRODUCTION

Let  $G$  be a linear algebraic reductive subgroup of the group  $GL(E)$  of all invertible linear transformations on a finite dimensional complex vector space  $E$ . If  $S(E^*)$  is the symmetric algebra of all polynomial functions on  $E$  then the action of  $G$  on  $E$  induces an action of  $G$  on  $S(E^*)$ , denoted by  $g \cdot p$ , for  $g \in G$  and  $p \in S(E^*)$ . We say that  $p \in S(E^*)$  is  $G$ -invariant if  $g \cdot p = p$  for all  $g \in G$ . The  $G$ -invariant polynomial functions form a subalgebra  $J(E^*)$  of  $S(E^*)$ . Given  $X \in E$ , let  $\partial_X$  denote the differential operator defined by

$$[\partial_X f](Y) = \left\{ \frac{d}{dt} f(Y + tX) \right\}_{t=0}, \quad t \in \mathbf{R},$$

for all smooth functions  $f$  on  $E$ . The map  $X \rightarrow \partial_X$  induces an isomorphism of the algebra  $S(E^*)$  onto the algebra of all differential operators on  $E$  with constant coefficients. The image of an element  $p \in S(E^*)$  under this isomorphism is denoted by  $p(D)$ . If  $J_+(E^*)$  is the subset of all elements in  $J(E^*)$  without constant term, then an element  $f \in S(E^*)$  is said to be  $G$ -harmonic if  $p(D)f = 0$  for all  $p \in J_+(E^*)$ . The subspace of all  $G$ -harmonic polynomial functions in  $S(E^*)$  is denoted by  $H(E^*)$ . The study of  $H(E^*)$  and the decomposition  $S(E^*) = J(E^*)H(E^*)$  (the "separation of variable" theorem) was

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initiated by H. Maass in [M1] and [M2] and was extensively developed by S. Helgason in [H1] and by B. Kostant in [K]. Several authors have investigated the representation theory for specific types of Lie groups  $G$  on  $H(E^*)$ . A non-exhaustive list of publications on this subject includes [L], [G], [S], [T1], [T2], [K-O], [K-V], [G-K], and [G-P-R].

It was shown in [T1] that, up to isomorphism, all irreducible holomorphic representations of a Lie group  $G$  of type  $B_l$  or  $D_l$  can be concretely realized as  $G$ -submodules of  $H(E^*)$  except for the case of the “mirror-conjugate representations” of  $D_l$  which was left unsettled (see [Z, Chapter XVI, §114] for the definition of the “mirror-conjugate representations”). In this paper we will settle this special case in conjunction with the description, in both cases  $B_l$  and  $D_l$ , of the ideal  $J_+(E^*)S(E^*)$  and a detailed description of the orbit structure of the  $G$  action in the null cone  $P$  of the common zeros of polynomial functions in  $J_+(E^*)S(E^*)$ .

## 2. DESCRIPTION OF THE IDEAL $J_+(E^*)S(E^*)$ AND ITS NULL CONE

In this article  $E$  denotes  $\mathbb{C}^{n \times k}$  and  $G$  is  $SO(k, \mathbb{C})$ . Then  $G$  acts linearly on  $E$  by right multiplication and leaves the nondegenerate symmetric bilinear form  $(X, Y) \rightarrow \text{tr}(XY^t)$ ,  $X, Y \in E$ , invariant. It follows that the function  $X^*$  defined by  $X^*(Y) = \text{tr}(XY^t)$  is an element of  $E^*$ , the dual of  $E$ . It was shown in [T1] that the algebra  $S(E^*)$  can be equipped with the inner product

$$\langle p_1, p_2 \rangle = p_1(D) \overline{p_2(\overline{X})} \Big|_{X=0}, \quad p_1, p_2 \in S(E^*),$$

which is invariant under the restriction of the action of  $G$  to  $G_0 = SO(k)$ .

A slight modification of the techniques in [H2, Chapter III], leads to the following results concerning  $S(E^*)$  and  $H(E^*)$ .

The algebra  $S(E^*)$  is decomposed into an orthogonal direct sum with respect to the inner product given above as  $S(E^*) = J_+(E^*)S(E^*) \oplus H(E^*)$ . If  $H_1(E^*)$  denotes the subspace of  $H(E^*)$  spanned by the polynomial functions of the form  $(X^*)^m$ ,  $X \in P$ ,  $m = 0, 1, 2, \dots$  and if  $H_2(E^*)$  denotes the subspace of  $H(E^*)$  of all polynomial functions which vanish on  $P$  then we have the orthogonal direct sum decomposition  $H(E^*) = H_1(E^*) \oplus H_2(E^*)$ . Moreover, the linear subspace  $J_+(E^*)S(E^*) \oplus H_2(E^*)$  is the ideal in  $S(E^*)$  of all polynomial functions which vanish on  $P$ , i.e., the ideal  $\sqrt{J_+(E^*)S(E^*)}$ .

We will now study this ideal  $J_+(E^*)S(E^*)$ . Recall ([W], [D-P, Theorem 5.6ii]) that it is generated by the  $n(n+1)/2$  polynomials

$$p_{ij}(X) = \sum_{s=1}^k X_{is} X_{js}, \quad 1 \leq i \leq j \leq n,$$

together with the  $(k \times k)$ -minors of the matrix  $X$  (which are 0 when  $k > n$ ). We also derive geometric properties of the null cone  $P$  of  $E$  defined by  $J_+(E^*)S(E^*)$ .

The following theorem sums up our results, which extend earlier results of [T1] (case  $k > 2n$ ) and [H] (case  $k$  odd,  $k < 2n$ ).

**Theorem 2.1**

- (i) For  $k > 2n$ , the ideal  $J_+(E^*)S(E^*)$  is prime. The scheme  $P$  is a complete intersection, with one open dense orbit.
- (ii) For  $k = 2n$ , the ideal  $J_+(E^*)S(E^*)$  is the intersection of two prime ideals, hence it is radical. The scheme  $P$  is a complete intersection, with two open orbits.
- (iii) For  $k < 2n$ , the ideal  $J_+(E^*)S(E^*)$  is not radical, except for  $k \leq 2$ . The orbits are nowhere dense, except for  $k = 1$ . For  $k$  odd  $> 1$ ,  $P$  is irreducible and nowhere reduced. For  $k$  even,  $P$  has two irreducible components and is generically reduced (but not reduced except for  $k = 2$ ).

*Proof.* As a set, the scheme  $P$  is  $\{X \in \mathbb{C}^{n \times k} \mid XX^t = 0 \text{ and } \text{Rank}(X) < k\}$ . Therefore, a matrix  $X$  is in  $P$  if and only if the image  $\Lambda(X)$  of the morphism  $X^t : \mathbb{C}^n \rightarrow \mathbb{C}^k$  is totally isotropic for the quadratic form  $\sum_{1 \leq i \leq k} Y_i^2$  (such a space is automatically of dimension  $\leq k/2$ ). The space  $\Sigma_{r,k}$  of all  $r$ -dimensional totally isotropic spaces for a non-degenerate quadratic form has a long history. We borrow the following facts from [G-H, pp. 735–739]:

- (a)  $\Sigma_{r,k}$  is empty for  $r > k/2$ .
- (b)  $\Sigma_{r,k}$  has dimension  $r(k - (3r + 1)/2)$  if  $r \leq k/2$ . It is irreducible for  $r < k/2$  but has two irreducible components for  $k = 2r$ .

Now, by Witt's theorem [A, Chapter III], two elements  $X_1$  and  $X_2$  of  $P$  are in the same  $G$ -orbit if and only if  $\Lambda(X_1)$  and  $\Lambda(X_2)$  are of the same rank  $r$  and are in the same component of  $\Sigma_{r,k}$ . We get the following description of the subspaces  $P_r$  of  $P$  consisting of matrices of rank  $r$ :

- (2.1)  $P_r$  is empty for  $r > n$  or  $r > k/2$ . For  $r \leq n$  and  $r \leq k/2$ , it has dimension

$$\dim\{\text{surjections } \mathbb{C}^n \rightarrow \mathbb{C}^r\} + \dim \Sigma_{r,k} = r(n + k - (3r + 1)/2).$$

- (2.2) For  $r < k/2$  and  $r \leq n$ ,  $P_r$  is covered by the following (non-disjoint)  $G$ -orbits: where  $A$  is any  $(n - r) \times r$  matrix.

$$\left. \begin{array}{l} r \\ n - r \end{array} \right\} \left[ \begin{array}{c|c|c} & \begin{array}{c} r \\ \vdots \\ 1 \end{array} & \begin{array}{c} r \\ \vdots \\ i \end{array} & \begin{array}{c} k - 2r \\ \\ 0 \end{array} \\ \hline & A & iA & \end{array} \right] \cdot G,$$

- (2.3) For  $k = 2r$  and  $r \leq n$ ,  $P_r$  is covered by the following two families of (non-disjoint)  $G$ -orbits:

$$\left. \begin{array}{l} r \\ n-r \end{array} \right\} \left[ \begin{array}{c|c} \begin{array}{ccc} 1 & & \\ & \ddots & \\ & & 1 \end{array} & \begin{array}{ccc} i & & \\ & \ddots & \\ & & i \end{array} \\ \hline A & iA \end{array} \right] \cdot G$$

and

$$\left. \begin{array}{l} r \\ n-r \end{array} \right\} \left[ \begin{array}{c|c} \begin{array}{ccc} 1 & & \\ & \ddots & \\ & & 1 \end{array} & \begin{array}{ccc} i & & \\ & \ddots & i \\ & & -i \end{array} \\ \hline A & A' \end{array} \right] \cdot G,$$

where, again,  $A$  is any  $(n-r) \times r$  matrix and  $A'$  is the matrix obtained by switching signs in the last column of  $iA$ .

It follows easily from (2.2) that  $P_r$  is contained in the closure of  $P_{r+1}$  whenever the latter is non-empty. This yields the following geometric description of  $P$ :

- (2.4) For  $k \geq 2n$ , the maximal  $r$  for which  $P_r$  is non-empty is  $n$ . The stratum  $P_n$  is dense in  $P$ , which therefore has dimension  $nk - n(n+1)/2$  or codimension  $n(n+1)/2$  in  $E$  (by (2.1)). It follows moreover, from (2.2) and (2.3), that

- (a) For  $k > 2n$ ,  $P_n$  is just one orbit.

$$\left[ \begin{array}{c|c|c} 1 & & i & & \\ & \ddots & & \ddots & \\ & & 1 & & i \\ & & & & 0 \end{array} \right] \cdot G$$

- (b) For  $k = 2n$ ,  $P_n$  is the union of 2 orbits:

$$P_n^+ = \left[ \begin{array}{c|c} \begin{array}{ccc} 1 & & \\ & \ddots & \\ & & 1 \end{array} & \begin{array}{ccc} i & & \\ & \ddots & \\ & & i \end{array} \end{array} \right] \cdot G$$

and

$$P_n^- = \left[ \begin{array}{c|c} \begin{array}{ccc} 1 & & \\ & \ddots & \\ & & 1 \end{array} & \begin{array}{ccc} i & & \\ & \ddots & i \\ & & -i \end{array} \end{array} \right] \cdot G.$$

(2.5) For  $k < 2n$ , write  $k = 2k' + \varepsilon$  with  $\varepsilon = 0$  or  $1$ , i.e.,  $k'$  is the rank of the group  $SO(k, \mathbb{C})$ . The maximal  $r$  for which  $P_r$  is non-empty is  $k'$ . The stratum  $P_{k'}$  is dense in  $P$ , which therefore has dimension  $k'(n + k - (3k' + 1)/2)$  or codimension  $k'n - k'(k' - 1)/2 + (n - k')\varepsilon$  in  $E$  (by (2.1)). Moreover, by (2.2) and (2.3),  $P$  has 1 irreducible component when  $k$  is odd, 2 when  $k$  is even. As suspected in [T1, Remark 2.10 (1)],  $P_{k'}$  contains, in general, infinitely many orbits. More precisely, each such orbit is determined by the  $(n - k')$ -dimensional linear subspace  $\text{Ker } X^t$  of  $\mathbb{C}^n$ , hence  $P_{k'}/G$  is isomorphic to the  $k'(n - k')$ -dimensional Grassmannian  $G(k', n - k')$  and is infinite for  $k > 1$ .

We now turn our attention to the *scheme structure* of  $P$ , given by the ideal  $I = J_+(E^*)S(E^*)$ .

*Case  $k \geq 2n$ .* By (2.4),  $P$  has codimension equal to the number of its defining equations. In other words,  $P$  is the *complete intersection* of the  $p_{ij}$ 's. Since the Unmixedness Theorem holds in the polynomial ring  $S(E^*)$  [M, p. 107 and Theorem 31, p. 108], to check that  $P$  is reduced (i.e., that  $I$  is radical), it is enough to find one point on each component of  $P$  at which  $P$  is reduced. But this follows from [T1, Lemma 2.9], where it is shown, using the Jacobian criterion, that  $P$  is smooth on the dense stratum  $P_n$ .

*Case  $k < 2n$ .* The situation is very different. Recall that we wrote  $k = 2k' + \varepsilon$ , where  $\varepsilon = 0$  or  $1$ . By (2.5), the codimension of  $P$  is  $(k'n - k'(k' - 1)/2 + (n - k')\varepsilon)$ , which is strictly less than the number of its defining equations, except for  $k = 2n - 1 > 1$ . Except for this case, where  $P$  is still the complete intersection of the  $p_{ij}$ 's and  $I$  is primary,  $P$  may well have embedded primes (and it does, at least for  $k$  even  $> 2$ ).

The same argument used in the above mentioned Lemma 2.9 of [T1] shows that, on the dense stratum  $P_{k'}$ , the rank of the Jacobian matrix of the  $p_{ij}$ 's is  $(k'n - k'(k' - 1)/2)$ . If  $k' < k - 1$ , that is if  $k > 2$ , the derivatives of the  $(k \times k)$ -minors are 0 on  $P_{k'}$ . It follows that, for  $k$  odd  $> 1$ ,  $P$  is nowhere reduced, hence  $I$  is not radical but that, for  $k$  even,  $P$  is generically smooth!

This is therefore not enough to conclude in the case  $k$  even and we will use a direct computation, based on (2.1) only, to show that  $I$  is not radical whenever  $2 < k < 2n$ .

Recall that any element of  $P$  has rank  $\leq k'$ . Thus, if  $X' = (X_{ij})_{1 \leq i, j \leq k'+1}$ ,  $(\det X')$  vanishes on  $P$ , hence is in the radical of  $I$  (recall that  $k' + 1 \leq n$ ). Suppose  $(\det X') \in I$ . Substitute 0 for all the  $X_{ij}$ 's except for  $X_{12}$ ,  $X_{21}$  and  $X_{ii}$  for  $1 \leq i \leq k' + 1$ . When  $k > k' + 1$ , that is when  $k > 2$ , all the  $(k \times k)$ -minors of  $X$  vanish and the only non-zero  $p_{ij}$ 's left are  $p_{11} = X_{11}^2 + X_{12}^2$ ,  $p_{12} = X_{11}X_{21} + X_{12}X_{22}$ ,  $p_{22} = X_{21}^2 + X_{22}^2$  and  $p_{ii} = X_{ii}^2$  for  $3 \leq i \leq k' + 1$ .

Modulo  $(X_{33}^2, \dots, X_{k'+1, k'+1}^2)$ , we get a congruence of the type

$$(X_{11}X_{22} - X_{12}X_{21})X_{33} \cdots X_{k'+1, k'+1} = \sum_{1 \leq i \leq j \leq 2} a_{ij} p_{ij}.$$

By comparing coefficients of  $X_{33} \cdots X_{k'+1, k'+1}$ , we get  $X_{11}X_{22} - X_{12}X_{21} \in (p_{11}, p_{12}, p_{22})$ , which is untrue. Therefore,  $(\det X')$  is not in  $I$  when  $k \geq 3$ , and  $I$  is not radical. Direct calculations show that, for  $k = 1$ ,  $I = (X_{11}, \dots, X_{n1})$  is prime and that, for  $k = 2$ ,  $I$  is radical.  $\square$

It follows immediately from Theorem 2.1 and the remarks made earlier that, for the case  $k \geq 2n$ , the ideal  $J_+(E^*)S(E^*)$  is radical (prime when  $k > 2n$ ) and hence  $H_2(E^*) = \{0\}$ . Thus we have the following result which extends an earlier result in [T1, Theorem 2.5].

**Corollary 2.2** (“Separation of variables” theorem for  $S(E^*)$ ,  $E = \mathbf{C}^{n \times k}$ ,  $k \geq 2n$ ).

- (i) *The algebra of all polynomial functions on  $\mathbf{C}^{n \times k}$ ,  $k \geq 2n$ , can be decomposed as*

$$\begin{aligned} S(E^*) &= J_+(E^+)S(E^*) \oplus H(E^*) \text{ (orthogonal direct sum)} \\ S(E^*) &= J(E^*)H(E^*). \end{aligned}$$

- (ii) *The space  $H(E^*)$  is generated by all powers of all polynomial functions  $f$  satisfying*

$$f(X) = \sum_{i,j} A_{ij} X_{ij} \text{ with } AA^t = 0, \quad 1 \leq i \leq n, \quad 1 \leq j \leq k.$$

- (iii) *The space  $H_2(E^*)$  of all  $G$ -harmonic polynomial functions that vanish on the null cone  $P$  of the common zeros of polynomial functions in  $J_+(E^*)S(E^*)$  is zero.*

For the case  $k = 2n$ , Corollary 2.2 will play a crucial role in the proof of Theorem 3.1 of the next section.

### 3. THE HARMONIC REPRESENTATIONS OF $SO(k, \mathbf{C})$

In [T1] it was shown that all irreducible holomorphic representations of  $G = SO(k, \mathbf{C})$  can be explicitly realized as  $G$ -submodules of  $H(E^*)$  with the exception of the “mirror-conjugate representations” of  $G$ . In this section we will show that these representations too can be realized on  $H(E^*)$  and have a very interesting characterization on the orbits of  $G$  in  $P$ , which was studied in Theorem 2.1. Since “the mirror-conjugate representations” can only occur when  $k$  is even we shall assume henceforth that  $k = 2n$ .

Let

$$\gamma = \frac{1}{\sqrt{2}} \left[ \begin{array}{ccc|ccc} 1 & & & & & 1 \\ & \ddots & & & \ddots & \\ & & 1 & 1 & & \\ \hline -i & & & & & i \\ & \ddots & & & \ddots & \\ & & -i & i & & \\ \hline & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \end{array} \right] \begin{matrix} n \\ n \end{matrix}$$

Then

$$\gamma^{-1} = \frac{1}{\sqrt{2}} \left[ \begin{array}{ccc|ccc} 1 & & & & i & \\ & \ddots & & & \ddots & \\ & & 1 & & & i \\ \hline & & 1 & & & -i \\ & \ddots & & & \ddots & \\ & & -i & & & \\ \hline & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \end{array} \right],$$

where the entries not exhibited are 0. Then

$$(\gamma^{-1})(\gamma^{-1})^t = \begin{bmatrix} & & 1 \\ & \ddots & \\ 1 & & \end{bmatrix} = \sigma.$$

If  $\tilde{G} = \gamma^{-1}G\gamma$ , then it can be easily verified that

$$\tilde{G} = \{\tilde{g} \in \mathrm{GL}(2n, \mathbb{C}) : \tilde{g}\sigma(\tilde{g})^t = \sigma, \det \tilde{g} = 1\}.$$

In general we shall denote, by  $\tilde{g}$ , the image of  $g$  under the conjugation  $g \rightarrow \gamma^{-1}g\gamma$ , and vice versa. It follows that  $\tilde{G}$  is the connected component of the identity in the group of linear transformations which preserve the symmetric bilinear form  $\mathrm{tr}(x\sigma y^t) = x_1y_{2n} + x_2y_{2n-1} + \cdots + x_{2n}y_1$ , for all  $x = (x_1, \dots, x_{2n})$  and  $y = (y_1, \dots, y_{2n})$  in  $\mathbb{C}^{2n}$ . It is well-known (cf. [Z, Chapter XVI, §114]) that  $\tilde{G}$  has the Gauss decomposition induced by  $\mathrm{GL}(2n, \mathbb{C})$

$$(3.1) \quad \tilde{G} = \tilde{Z}_- \tilde{D} \tilde{Z}_+,$$

where the components  $\tilde{Z}_-$ ,  $\tilde{D}$ ,  $\tilde{Z}_+$  are the intersections of  $\tilde{G}$  with the subgroups  $Z_-(2n)$ ,  $D(2n)$ , and  $Z_+(2n)$  of all lower triangular unipotent, of all diagonal, and of all upper triangular unipotent matrices of  $\mathrm{GL}(2n, \mathbb{C})$ , respectively. It follows that  $\tilde{B} = \tilde{Z}_- \tilde{D}$  is a Borel subgroup of  $\tilde{G}$  which consists of all lower triangular matrices of the form

$$(3.2) \quad \tilde{b} = \begin{bmatrix} b_{11} & & & & & \\ & \ddots & & & & \\ & & b_{nn} & & & \\ & & & b_{nn}^{-1} & & \\ & & & & \ddots & \\ & & & & & b_{11}^{-1} \end{bmatrix}.$$



Let  $(m)^+$  denote an  $n$ -tuple of positive integers  $(m_1, m_2, \dots, m_n)$  satisfying the condition  $m_1 \geq m_2 \geq \dots \geq m_n > 0$ , and set  $(m)^- = (m_1, \dots, m_{n-1}, -m_n)$ . Define the holomorphic characters  $\pi^{(m)^+}$  and  $\pi^{(m)^-}$  on  $\tilde{B}$  by

$$\pi^{(m)^+}(\tilde{b}) = b_{11}^{m_1} \dots b_{nn}^{m_n} \quad \text{and} \quad \pi^{(m)^-}(\tilde{b}) = b_{11}^{m_1} \dots b_{nn}^{-m_n}$$

for all  $\tilde{b} \in \tilde{B}$ . Set

$$V^{(m)^+} = \{f: \tilde{G} \rightarrow \mathbf{C} : f \text{ holomorphic and } f(\tilde{b}\tilde{g}) = \pi^{(m)^+}(\tilde{b})f(\tilde{g}) \\ \forall (\tilde{b}, \tilde{g}) \in \tilde{B} \times \tilde{G}\}$$

and

(3.3)

$$V^{(m)^-} = \{f: \tilde{G} \rightarrow \mathbf{C} : f \text{ holomorphic and } f(\tilde{b}\tilde{g}) = \pi^{(m)^-}(\tilde{b})f(\tilde{g}) \\ \forall (\tilde{b}, \tilde{g}) \in \tilde{B} \times \tilde{G}\}.$$

Let  $\tilde{R}_\pi^{(m)^+}$  (respectively  $\tilde{R}_\pi^{(m)^-}$ ) denote the representation of  $\tilde{G}$  obtained by right translation on  $V^{(m)^+}$  (respectively  $V^{(m)^-}$ ). Then, by the Borel-Weil theorem,  $\tilde{R}_\pi^{(m)^+}$  (respectively  $\tilde{R}_\pi^{(m)^-}$ ) is irreducible with signature  $(m)^+$  (respectively  $(m)^-$ ). These representations are termed “mirror-conjugate representations” of  $G$  in [Z, Chapter XVI]. Moreover, if  $\tilde{g} \in \tilde{G}$  then, in the Gauss decomposition of  $\tilde{G}$ ,  $\tilde{g} = \tilde{b}[\tilde{g}]\tilde{z}[\tilde{g}]$  with  $\tilde{b}[\tilde{g}] \in \tilde{B}$  and  $\tilde{z}[\tilde{g}] \in \tilde{Z}_+$ , and  $(\tilde{b}[\tilde{g}])_{ii} = \Delta_i(\tilde{g})/\Delta_{i-1}(\tilde{g})$ , where  $\Delta_i(\tilde{g})$  is the  $i$ th principal minor of  $\tilde{g}$ ,  $\Delta_0(\tilde{g}) = 1$ ,  $1 \leq i \leq n$ , so that the highest weight vector of  $V^{(m)^+}$  (respectively  $V^{(m)^-}$ ) is given by

$$(3.4) \quad f^{(m)^+}(\tilde{g}) = \pi^{(m)^+}(\tilde{b}[\tilde{g}]) = \Delta_1^{m_1-m_2}(\tilde{g})\Delta_2^{m_2-m_3}(\tilde{g})\dots\Delta_n^{m_n}(\tilde{g})$$

and

$$f^{(m)^-}(\tilde{g}) = \pi^{(m)^-}(\tilde{b}[\tilde{g}]) = \Delta_1^{m_1-m_2}(\tilde{g})\Delta_2^{m_2-m_3}(\tilde{g})\dots\Delta_n^{-m_n}(\tilde{g})$$

for all  $\tilde{g} \in \tilde{G}$ .

For the same  $n$ -tuple,  $(m_1, m_2, \dots, m_n) = (m)^+$ , define a holomorphic character of  $B \equiv B(n)$ , the lower triangular subgroup of  $GL(n, \mathbf{C})$ , by

$$\xi^{(m)}(b) = b_{11}^{m_1} \dots b_{nn}^{m_n}, \quad b \in B.$$

Let  $H(E^*, (m))$  denote the subspace of all  $G$ -harmonic polynomial functions  $p$  which also satisfy the covariant condition

$$p(bx) = \xi^{(m)}(b)p(X), \quad \forall (b, X) \in B \times E.$$

Let

$$X_0^+ = \left[ \begin{array}{ccc|ccc} 1 & & & & i & & \\ & \ddots & & & & \ddots & \\ & & & 1 & & & i \end{array} \right]$$

and

$$X_0^- = \left[ \begin{array}{ccc|ccc} 1 & & & & i & \\ & \ddots & & & & \\ & & & & & \\ & & & 1 & & \\ \hline & & & & & \\ & & & & \ddots & i \\ & & & & & -i \end{array} \right].$$

Then it follows from Equation (2.7) of Theorem 2.1 that  $P_n$  is the union of two orbits,  $P_n^+ = X_0^+ \cdot G$  and  $P_n^- = X_0^- \cdot G$ . Let  $H^+(E^*, (m))$  (respectively  $H^-(E^*, (m))$ ) denote the subspace of all functions in  $H(E^*, (m))$  which vanish on the orbit  $P_n^-$  (respectively  $P_n^+$ ). Then we have

**Theorem 3.1.** (i) *If  $H(E^*, (m))$  is the subspace of  $H(E^*)$  consisting of all  $G$ -harmonic polynomial functions  $p$  which also satisfy the covariant condition  $p(bX) = \xi^{(m)}(b)p(X)$  for all  $(b, X) \in B \times E$ , then  $H(E^*, (m))$  is decomposed into an orthogonal direct sum as*

$$H(E^*, (m)) = H^+(E^*, (m)) \oplus H^-(E^*, (m)).$$

(ii) *If  $R^{(m)}$  denotes the representation of  $G$  obtained by right translation on  $H(E^*, (m))$ , then the restriction  $R^{(m)+}$  (respectively  $R^{(m)-}$ ) of  $R^{(m)}$  to  $H^+(E^*, (m))$  (respectively  $H^-(E^*, (m))$ ) is irreducible with signature  $(m)^+ = (m_1, \dots, m_n)$  (respectively  $(m)^- = (m_1, \dots, m_{n-1}, -m_n)$ ).*

*Proof.* We define a representation  $R_\pi^{(m)}G$  on  $V^{(m)+} \oplus V^{(m)-}$  by

$$[R_\pi^{(m)}(g_0)(f^+ + f^-)](\tilde{g}) = f^+(\tilde{g}\tilde{g}_0) + f^-(\tilde{g}\tilde{g}_0),$$

for all  $f^+ \in V^{(m)+}$ ,  $f^- \in V^{(m)-}$ ,  $g_0 \in G$ ,  $\tilde{g} \in \tilde{G}$ . Using the ‘‘Weyl’s unitarian trick’’ (cf. [V, §4.11]), we may equip  $V^{(m)+} \oplus V^{(m)-}$  with an inner product which is invariant under the compact real form  $G_0 = SO(k)$  of  $G$ , and, using Schur’s orthogonality relations, we can show that since  $V^{(m)+}$  and  $V^{(m)-}$  are inequivalent  $G_0$ -simple modules they form an orthogonal direct sum relative to the  $G_0$ -invariant inner product. Set

$$S_0 = \left\{ \begin{array}{l} n-1 \\ 2 \\ n-1 \end{array} \left\{ \left[ \begin{array}{ccc|ccc} 1 & & & & & \\ & \ddots & & & & \\ & & & & & \\ & & & 1 & & \\ \hline & & & & 0 & 1 \\ & & & & 1 & 0 \\ \hline & & & & & & 1 & & \\ & & & & & & & \ddots & \\ & & & & & & & & 1 \end{array} \right] \right\}, \quad \Pi = \left\{ \begin{array}{l} 1 \\ \vdots \\ n \end{array} \left[ \begin{array}{ccc|c} 1 & & & 0 \\ & \ddots & & \\ & & & 1 \\ \hline & & & n \end{array} \right] \right\}_n,$$

and define a linear map  $\Lambda : H(E^*, (m)) \rightarrow V^{(m)+} \oplus V^{(m)-}$  by

$$\Lambda p = \Lambda^+ p + \Lambda^- p, \quad \text{for all } p \in H(E^*, (m)),$$

where

$$\Lambda^+ p(\tilde{g}) = p(\Pi \tilde{g} \gamma^{-1})$$

and

$$\Lambda^- p(\tilde{g}) = p(\Pi s_0 \tilde{g} \gamma^{-1}), \quad \text{for all } \tilde{g} \in \tilde{G}.$$

Then

$$(3.5) \quad \Lambda^+ p(\tilde{g}) = p\left(\frac{1}{\sqrt{2}} X_0^+ g\right) \quad \text{and} \quad \Lambda^- p(\tilde{g}) = p\left(\frac{1}{\sqrt{2}} X_0^- g\right),$$

for all  $g = \gamma \tilde{g} \gamma^{-1} \in G$ . Let us verify that  $\Lambda^+$  (respectively  $\Lambda^-$ ) does indeed map  $H(E^*, (m))$  into  $V^{(m)^+}$  (respectively  $V^{(m)^-}$ ). If

$$\tilde{b} = \begin{bmatrix} b_{11} & & & & \\ & \ddots & & & \\ & & b_{nn} & & \\ * & & b_{nn}^{-1} & & \\ & & & \ddots & \\ & & & & b_{11}^{-1} \end{bmatrix}$$

is an element of  $\tilde{B}$  then  $\Pi \tilde{b} = b \Pi$  with

$$b = \begin{bmatrix} b_{11} & & \\ & \ddots & \\ * & & b_{nn} \end{bmatrix}$$

in  $B(n)$ . So

$$\begin{aligned} \Lambda^+ p(\tilde{b} \tilde{g}) &= p(\Pi \tilde{b} \tilde{g} \gamma^{-1}) = p(b \Pi \tilde{g} \gamma^{-1}) \\ &= \zeta^{(m)}(b) p(\Pi \tilde{g} \gamma^{-1}) = \pi^{(m)^+}(\tilde{b}) \Lambda^+ p(\tilde{g}) \end{aligned}$$

and obviously  $\Lambda^+ p$  is a holomorphic function on  $\tilde{G}$ . So  $\Lambda^+$  maps  $H(E^*, (m))$  into  $V^{(m)^+}$ . Similarly,  $\Pi s_0 \tilde{b} = \Pi(s_0 \tilde{b} s_0) s_0$  since  $s_0^{-1} = s_0$ , and

$$s_0 \tilde{b} s_0 = \begin{bmatrix} b_{11} & & & & \\ & \ddots & & & \\ & & b_{n-1, n-1} & & \\ & & & b_{nn}^{-1} & \\ & & & & b_{nn} & \\ & & & & & \ddots \\ & & & & & & b_{11}^{-1} \end{bmatrix},$$

$\Pi s_0 \tilde{b} s_0 = b^- \Pi$ , with

$$b^- = \begin{bmatrix} b_{11} & & & \\ & \ddots & & \\ & & b_{n-1, n-1} & \\ & & & b_{n, n}^{-1} \end{bmatrix}$$



then

$$\tilde{b}[s_0 \tilde{g} s_0] = \begin{bmatrix} b_{11} & & & & & & \\ & \ddots & & & & & \\ & & b_{n-1, n-1} & & & & \\ & & & b_{n, n}^{-1} & & & \\ & & & & b_{n, n} & & \\ & & * & & & b_{n-1, n-1}^{-1} & \\ & & & & & & b_{11}^{-1} \end{bmatrix}$$

so that

$$\begin{aligned} \Lambda^- p_0^{(m)-}(\tilde{g}) &= p_0^{(m)-}(\Pi s_0 \tilde{g} \gamma^{-1}) = p_\xi(\Pi s_0 \tilde{g} \gamma^{-1} \gamma s_0) \\ &= p_\xi(\Pi s_0 \tilde{g} s_0) \\ &= p_\xi(\Pi \tilde{b}[s_0 \tilde{g} s_0] \tilde{z}[s_0 \tilde{g} s_0]) \\ &= p_\xi(b^v \Pi \tilde{z}[s_0 \tilde{g} s_0]) \\ &= \xi^{(m)}(b^v) p_\xi(\Pi \tilde{z}[s_0 \tilde{g} s_0]) \\ &= f^{(m)-}(\tilde{g}), \end{aligned}$$

for

$$b^v = \begin{bmatrix} b_{11} & & & \\ & \ddots & & \\ & & b_{n-1, n-1} & \\ * & & & b_{n, n}^{-1} \end{bmatrix}$$

and  $p(\Pi \tilde{z}[s_0 \tilde{g} s_0]) = 1$ . Also,

$$\begin{aligned} \Lambda^+ p_0^{(m)-}(\tilde{g}) &= p_0^{(m)-}(\Pi \tilde{g} \gamma^{-1}) = p_\xi(\Pi \tilde{g} \gamma^{-1} \gamma s_0) \\ &= p_\xi(\Pi \tilde{g} s_0) = p_\xi(\Pi b[\tilde{g}] \tilde{z}[\tilde{g}] s_0) \\ &= p_\xi(b \Pi \tilde{z}[\tilde{g}] s_0) \\ &= \xi^{(m)}(b) p_\xi(\Pi \tilde{z}[\tilde{g}] s_0) \\ &= \xi^{(m)}(b) p_\xi(\Pi s_0 (s_0 \tilde{z}[\tilde{g}] s_0)). \end{aligned}$$

Since the conjugation  $\tilde{g} \rightarrow s_0 \tilde{g} s_0$  preserves the Gauss decomposition of  $\tilde{G}$ ,  $s_0 \tilde{z}[\tilde{g}] s_0$  is of the form

$$\left[ \begin{array}{ccc|ccc} 1 & & & & & \\ & 1 & & * & & * \\ & & \ddots & & & \\ & & & 1 & & \\ \hline & & & & 1 & \\ & & 0 & & & \ddots \\ & & & & & & 1 \end{array} \right]$$

and

$$\Pi_{s_0}(s_0 \tilde{z}[\tilde{g}]s_0) = \left[ \begin{array}{ccc|c} 1 & & & \\ & 1 & & * \\ & & \ddots & \\ & & & 0 \\ & & & * \end{array} \right]$$

so that  $\Delta_n(\Pi_{s_0}(s_0 \tilde{z}[\tilde{g}]s_0)) = 0$  and hence  $p_\xi(\Pi_{s_0}(s_0 \tilde{z}[\tilde{g}]s_0)) = 0$ . It follows that  $\Lambda^+ p_0^{(m)-} = 0$ . Similarly,

$$\begin{aligned} \Lambda^- p_0^{(m)+}(\tilde{g}) &= p_0^{(m)+}(\Pi_{s_0} \tilde{g} \gamma^{-1}) = p_\xi(\Pi_{s_0} \tilde{g}) \\ &= p_\xi(\Pi_{s_0} \tilde{b}[\tilde{g}] \tilde{z}[\tilde{g}]) \\ &= p_\xi(\Pi(s_0 \tilde{b}[\tilde{g}]s_0) s_0 \tilde{z}[\tilde{g}]). \end{aligned}$$

Again, since the conjugation  $\tilde{g} \rightarrow s_0 \tilde{g} s_0$  preserves the Gauss decomposition of  $\tilde{G}$ ,  $s_0 \tilde{b}[\tilde{g}]s_0$  is of the form

$$\left[ \begin{array}{cccccc} b_{11} & & & & & \\ & \ddots & & & & \\ & & b_{n-1, n-1} & & & \\ & & & b_{n, n}^{-1} & & \\ & & & & b_{n, n} & \\ & & * & & & b_{11}^{-11} \end{array} \right]$$

so that  $\Pi(s_0 \tilde{b}[\tilde{g}]s_0) = b^v \Pi$ . It follows that

$$p_\xi(\Pi(s_0 \tilde{b}[\tilde{g}]s_0) s_0 \tilde{z}[g]) = \xi^{(m)}(b^v) p_\xi(s_0 \tilde{z}[g]).$$

As above we see that  $p_\xi(s_0 \tilde{z}[g]) = 0$  and infer that  $\Lambda^- p_0^{(m)+} = 0$ . Since  $\Lambda p_0^{(m)+} = \Lambda^+ p_0^{(m)+} = f^{(m)+}$  (resp.  $\Lambda p_0^{(m)-} = \Lambda^- p_0^{(m)-} = f^{(m)-}$ ) is a cyclic vector of the simple  $G$ -module  $V^{(m)+}$  (resp.  $V^{(m)-}$ ) and  $\Lambda$  is an intertwining operator it follows that  $\Lambda$  is a  $G$ -module epimorphism. If  $p \in H(E^*, (m))$  and  $\Lambda p = \Lambda^+ p + \Lambda^- p = 0$  then Equation (3.5) implies that  $p((1/\sqrt{2})X_0^+ g) = p((1/\sqrt{2})X_0^- g) = 0$  for all  $g \in G$ , that is,  $p$  vanishes on both  $P_n^+$  and  $P_n^-$ . Since  $P_n^+ \cup P_n^- = P$ , it follows that  $p = 0$  on  $P$  and, by Corollary 2.2(iii),  $p$  is the 0 polynomial function. Thus  $\Lambda$  is a  $G$ -module monomorphism, and, hence, a  $G$ -module isomorphism. Let  $H^+(E^*, (m)) = \text{Ker } \Lambda^-$  (resp.  $H^-(E^*, (m)) = \text{Ker } \Lambda^+$ ) be the subspace of all elements of  $H(E^*(m))$  which vanish on  $P_n^-$  (resp.  $P_n^+$ ). Then clearly  $H^+(E^*, (m))$  and  $H^-(E^*(m))$  are  $G$ -submodules of  $H(E^*, (m))$  and  $\Lambda|_{H^+(E^*, (m))} = \Lambda^+$ ,  $\Lambda|_{H^-(E^*, (m))} = \Lambda^-$ . Moreover,  $p_0^{(m)+} \in H^+(E^*, (m))$  and  $p_0^{(m)-} \in H^-(E^*, (m))$ . So both  $H^+(E^*(m))$  and  $H^-(E^*, (m))$  are nonzero, and it follows that  $\Lambda^+ : H^+(E^*, (m)) \rightarrow V^{(m)+}$  and  $\Lambda^- : H^-(E^*, (m)) \rightarrow V^{(m)-}$  are isomorphisms of simple  $G$ -modules. The

fact that the inner product  $\langle \cdot, \cdot \rangle$  defined earlier on  $S(E^*)$  is invariant under the restriction of the action of  $G$  to  $G_0 = SO(k)$  and that  $H^+(E^*, (m))$  and  $H^-(E^*(m))$  are inequivalent simple  $G$ -modules implies immediately that  $H(E^*, (m)) = H^+(E^*, (m)) \oplus H^-(E^*, (m))$  is an orthogonal direct sum.  $\square$

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