

# Approximation of Sweeping Processes and Controllability for a Set Valued Evolution

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## Abstract

We consider a controlled evolution problem for a set  $\Omega(t) \in \mathbb{R}^d$ , originally motivated by a model where a dog controls a flock of sheep. Necessary conditions and sufficient conditions are given, in order that the evolution be completely controllable. Similar techniques are then applied to the approximation of a sweeping process. Under suitable assumptions, we prove that there exists a control function such that the corresponding evolution of the set  $\Omega(t)$  is arbitrarily close to the one determined by the sweeping process.

## 1 Introduction

In this paper we consider a controllability problem for the evolution of a set  $\Omega(t) \subset \mathbb{R}^d$ . This was originally motivated by the model introduced in [4], describing the evolution of a flock of sheep, who tend to scatter around but also react to the presence of a dog. The region  $\Omega(t) \subset \mathbb{R}^2$  occupied by the sheep is described as the reachable set for a differential inclusion, while the position of the dog is regarded as a control function. As in [4], we consider a “scare function”  $\varphi = \varphi(r) > 0$ , describing the speed at which sheep run away from the dog, depending on the distance  $r$ . Further results and extensions can be found in [9, 10]. For more general models of crowd dynamics we refer to [3]. A general theory of evolution problems in metric spaces, also describing the evolution of a set, was developed in [1, 11].

In the following we consider the evolution of a set in  $\mathbb{R}^d$ , and assume

**(A1)** *The function  $r \mapsto \varphi(r)$  is continuously differentiable for  $r > 0$ , and satisfies*

$$\varphi' < 0, \quad \lim_{r \rightarrow 0^+} \varphi(r) = +\infty, \quad \lim_{r \rightarrow +\infty} \varphi(r) = 0. \quad (1.1)$$

Given a function  $t \mapsto \xi(t) \in \mathbb{R}^d$  describing the position of a repelling agent, we define the velocity field

$$\mathbf{v}(x, \xi) \doteq \varphi(|x - \xi|) \frac{x - \xi}{|x - \xi|}. \quad (1.2)$$

For a given initial set  $\Omega_0$ , we denote by  $\Omega^\xi(t)$  the set reached by trajectories of

$$\dot{x} \in \mathbf{v}(x, \xi(t)), \quad x(0) \in \Omega_0. \quad (1.3)$$

In other words, for any  $t \geq 0$ ,

$$\Omega^\xi(t) \doteq \left\{ x(t); \quad x(0) \in \Omega_0, \quad x(\cdot) \text{ is absolutely continuous,} \right. \\ \left. \dot{x}(\tau) = \mathbf{v}(x(\tau), \xi(\tau)) \text{ for a.e. } \tau \in [0, t] \right\}. \quad (1.4)$$

Throughout the following we write  $\partial\Omega$ ,  $\bar{\Omega}$ , and  $\text{int}\Omega$ , for the boundary, the closure, and the interior of a set  $\Omega \subset \mathbb{R}^d$ , respectively. By  $B(\Omega, r)$  we denote the open neighborhood of radius  $r$  around the set  $\Omega$ , while  $d_H$  denotes Hausdorff distance [2].

To avoid any difficulty about uniqueness of solutions of (1.2)-(1.3), we assume that the control  $\xi(\cdot)$  is chosen so that

$$\inf_{t \in [0, \tau]} d(\xi(t), \Omega^\xi(t)) > 0 \quad \text{for all } 0 \leq \tau < T. \quad (1.5)$$

We wish to understand how the function  $\varphi$  affects the controllability properties of the evolution equation (1.3). Roughly speaking, given an initial set  $\Omega_0$  and a terminal set  $\Omega_1$ , we seek a control  $\xi(\cdot)$  such that, at the terminal time  $T$ , the set  $\Omega^\xi(T)$  in (1.4) is arbitrary close to  $\Omega_1$ .

**Definition 1.** *We say that the set-valued evolution (1.3) satisfies the **Global Approximate Confinement** property (**GAC**) if the following holds. Let  $\Omega_0, \Omega_1 \subset \mathbb{R}^d$  be any two compact domains, with  $\Omega_1$  simply connected and such that  $\Omega_1 \subset \text{int}\Omega_0$ . Then for any  $T, \varepsilon > 0$ , there exists a Lipschitz continuous control  $\xi : [0, T] \mapsto \mathbb{R}^d$  satisfying (1.5) and such that the set (1.4) satisfies*

$$\Omega_1 \subseteq \Omega^\xi(T) \subseteq B(\Omega_1, \varepsilon) \quad (1.6)$$

*If there exists a locally Lipschitz control  $\xi : [0, T] \mapsto \mathbb{R}^d$  satisfying (1.5) and such that*

$$\Omega^\xi(T) = \Omega_1, \quad (1.7)$$

*we then say that the set-valued evolution (1.3) satisfies the **Global Exact Confinement** property (**GEC**).*

The primary goal of this paper is to find conditions which are necessary, or sufficient, to achieve the (**GAC**) or (**GEC**) properties. Indeed, we will show that these properties are determined by the asymptotic behavior of the function  $\varphi$  as  $r \rightarrow 0+$ . Our first main result is

**Theorem 1 (necessary condition).** *Let  $\varphi$  satisfy (A1). If the (**GAC**) property holds, then the function  $\varphi$  must satisfy*

$$\int_0^1 r^{d-2} \varphi(r) dr = +\infty. \quad (1.8)$$

To state a sufficient condition, we introduce the assumption

**(A2)** For every  $\kappa > 0$  one has

$$\lim_{r \rightarrow 0^+} \frac{r^{d/2} \cdot \varphi(\kappa r^{1/2}) + 1}{r^d \cdot \varphi(r)} = 0. \quad (1.9)$$

**Theorem 2 (sufficient condition).** *If the function  $\varphi$  satisfies (A1)-(A2), then the (GAC) and (GEC) properties hold.*

This result applies, in particular, to the function  $\varphi(r) = r^{-\beta}$  for any  $\beta > d$ .

A proof of Theorem 1 will be given in Section 2, while Theorem 2 will be proved in Section 3.

The controllability of the set-valued evolution (1.4) is closely related to a result on the approximation of a sweeping process. Indeed, let  $t \mapsto V(t)$  describe a moving set in  $\mathbb{R}^d$ . We assume that each  $V(t)$  is a compact set with nonempty interior and smooth boundary, smoothly depending on time. More precisely:

$$V(t) = \{x \in \mathbb{R}^d; \psi(t, x) \leq 0\}, \quad (1.10)$$

where  $\psi : \mathbb{R} \times \mathbb{R}^d \mapsto \mathbb{R}$  has  $\mathcal{C}^2$  regularity and satisfies the nondegeneracy condition

$$\psi(t, x) = 0 \quad \implies \quad \nabla_x \psi(t, x) \neq 0. \quad (1.11)$$

As usual, we denote by  $N_{V(t)}(x)$  the outer normal cone to  $V(t)$  at a boundary point  $x \in \partial V(t)$ . In the case of an interior point  $x \in \text{int}V(t)$ , we simply define  $N_{V(t)}(x) = \{0\}$ . By the well known theory of sweeping processes [5, 6, 7, 8, 12], for any initial point  $x_0 \in V(0)$ , the differential inclusion

$$\dot{x}(t) \in -N_{V(t)}(x(t)), \quad x(0) = x_0 \quad (1.12)$$

has a unique solution  $t \mapsto x(t, x_0) \in V(t)$ . In turn, for a given initial set  $\Omega_0 \subset V(0)$ , one can consider the sets

$$\Omega(t) \doteq \{x(t, x_0); x_0 \in \Omega_0\}. \quad (1.13)$$

A natural question is whether there exists a control  $\xi(\cdot)$  such that the corresponding sets  $\Omega^\xi(t)$  in (1.4) remain uniformly close to the sets  $\Omega(t)$ , for all  $t \in [0, T]$ . It turns out that this is true, under an assumption which slightly strengthens **(A2)**, namely:

**(A2')** For some  $\beta > 1/2$  one has

$$\lim_{r \rightarrow 0^+} \frac{r^{\beta d} \cdot \varphi(r^\beta) + 1}{r^d \cdot \varphi(r)} = 0. \quad (1.14)$$

In the following,  $t \mapsto x^\xi(t, x_0)$  denotes the solution to

$$\dot{x}(t) = \mathbf{v}(x(t), \xi(t)), \quad x(0) = x_0, \quad (1.15)$$

with  $\mathbf{v}$  as in (1.2), while  $t \mapsto x(t, x_0)$  is the trajectory of the sweeping process (1.12), with the same initial condition.

**Theorem 3 (approximation of a sweeping process).** *Assume that the function  $\varphi$  satisfies (A1) and (A2'). As in (1.10)-(1.11), let  $t \mapsto V(t)$  be a family of sets with  $C^2$  boundaries. Then, for any  $T, \varepsilon > 0$  there exists a measurable control  $t \mapsto \xi(t)$  such that*

$$|x^\xi(t, x_0) - x(t, x_0)| \leq \varepsilon \quad \text{for all } x_0 \in V(0), \quad t \in [0, T]. \quad (1.16)$$

An immediate consequence of (1.16) is that, for any initial subset  $\Omega_0 \subseteq V(0)$ , the corresponding sets  $\Omega^\xi(t)$  in (1.4) and  $\Omega(t)$  in (1.13) satisfy

$$d_H(\Omega^\xi(t), \Omega(t)) \leq \varepsilon \quad \text{for all } t \in [0, T]. \quad (1.17)$$

A proof of Theorem 3 will be worked out in Section 4.

## 2 Proof of the necessary condition

In this section we give a proof of Theorem 1. The main idea is that, if (1.8) fails, then for any choice of the control  $\xi(\cdot)$  the volume of the set  $\Omega^\xi$  cannot shrink too fast. This puts a constraint on the sets that can be approximately reached at time  $T$ .

Let  $t \mapsto \xi(t)$  be any admissible control. Fix any time  $t \geq 0$  and let  $\mathbf{v} = \mathbf{v}(\cdot, \xi(t))$  be the vector field in (1.2). Then, calling  $\delta \doteq d(\xi(t), \Omega^\xi(t))$ , we compute

$$\begin{aligned} \frac{d}{dt} \text{meas}(\Omega^\xi(t)) &= \int_{\Omega^\xi(t) \cap B(\xi(t), 1)} \text{div } \mathbf{v} \, dx + \int_{\Omega^\xi(t) \setminus B(\xi(t), 1)} \text{div } \mathbf{v} \, dx \\ &\geq \omega_{d-1} \int_\delta^1 r^{d-1} \varphi'(r) \, dr + \varphi'(1) \cdot \text{meas}(\Omega^\xi(t)). \end{aligned} \quad (2.1)$$

Here and in the sequel  $\omega_{d-1}$  denotes the  $(d-1)$ -dimensional measure of the surface of the unit ball in  $\mathbb{R}^d$ . An integration by parts yields

$$\begin{aligned} \int_\delta^1 r^{d-1} \varphi'(r) \, dr &= - \int_\delta^1 (d-1) r^{d-2} [\varphi(r) - \varphi(1)] \, dr - \delta^{d-1} [\varphi(\delta) - \varphi(1)] \\ &\geq - \int_\delta^1 (d-1) r^{d-2} \varphi(r) \, dr - \delta^{d-1} \varphi(\delta). \end{aligned} \quad (2.2)$$

If (1.8) fails, then

$$\int_\delta^1 r^{d-2} \varphi(r) \, dr \leq M \doteq \int_0^1 r^{d-2} \varphi(r) \, dr < \infty.$$

Since  $\varphi$  is decreasing, one has

$$\delta^{d-1} \varphi(\delta) = \frac{1}{d-1} \cdot \int_0^\delta s^{d-2} \cdot \varphi(\delta) \, ds \leq \frac{1}{d-1} \cdot \int_0^\delta s^{d-2} \cdot \varphi(s) \, ds \leq \frac{M}{d-1}.$$

This implies that the right hand side of (2.2) is bounded below by a constant. Therefore, (2.1) yields

$$\frac{d}{dt} \text{meas}(\Omega^\xi(t)) \geq \varphi'(1) \cdot \text{meas}(\Omega^\xi(t)) - \frac{\omega_{d-1} M d}{d-1}. \quad (2.3)$$

By Gronwall's inequality, for all times  $t \geq 0$  we conclude that

$$\text{meas}\left(\Omega^\xi(t)\right) \geq e^{\varphi'(1) \cdot t} \text{meas}(\Omega_0) - \frac{\omega_{d-1} M d}{d-1} \cdot t. \quad (2.4)$$

This a priori lower bound on the measure of the set  $\Omega^\xi(t)$  shows that approximate controllability cannot be achieved.  $\square$

**Remark.** Assume that the divergence of the vector field  $\mathbf{v}(\cdot, \xi)$  remains negative for  $x$  close to  $\xi$ , that is

$$\varphi'(r) + \frac{d-1}{r} \varphi(r) \leq 0 \quad \text{for all } 0 < r < \bar{r}, \quad (2.5)$$

for some  $\bar{r} > 0$ . In this case, the Global Approximate Confinement property implies

$$\limsup_{r \rightarrow 0^+} r^{d-1} \varphi(r) = +\infty. \quad (2.6)$$

Indeed, one can replace the estimate (2.1) with

$$\begin{aligned} \frac{d}{dt} \text{meas}\left(\Omega^\xi(t)\right) &= \int_{\Omega^\xi(t) \cap B(\xi(t), \bar{r})} \text{div } \mathbf{v} \, dx + \int_{\Omega^\xi(t) \setminus B(\xi(t), \bar{r})} \text{div } \mathbf{v} \, dx \\ &\geq \int_{\delta < |x| < \bar{r}} \text{div } \mathbf{v} \, dx + \varphi'(\bar{r}) \cdot \text{meas}(\Omega^\xi(t)) \\ &= \omega_{d-1} \left[ \bar{r}^{d-1} \varphi(\bar{r}) - \delta^{d-1} \varphi(\delta) \right] + \varphi'(\bar{r}) \cdot \text{meas}(\Omega^\xi(t)) \\ &\geq -\omega_{d-1} \delta^{d-1} \varphi(\delta) + \varphi'(\bar{r}) \cdot \text{meas}(\Omega^\xi(t)). \end{aligned} \quad (2.7)$$

If (2.6) fails, then

$$\frac{d}{dt} \text{meas}\left(\Omega^\xi(t)\right) \geq \varphi'(\bar{r}) \cdot \text{meas}(\Omega^\xi(t)) - C_1$$

for some constant  $C_1$ , and it leads again a priori lower bound on the measure of the set  $\Omega^\xi(t)$ .

### 3 Proof of the sufficient condition

Aim of this section is to provide a proof of Theorem 2. As a preliminary, consider a bounded open set  $\Omega \subset \mathbb{R}^d$  with  $\mathcal{C}^2$  boundary  $\Sigma = \partial\Omega$ . On the complement  $\mathbb{R}^d \setminus \Sigma$  we consider the vector field

$$\mathbf{v}(x) \doteq \int_{\Sigma} \varphi(|x - \xi|) \frac{x - \xi}{|x - \xi|} d\sigma(\xi), \quad (3.1)$$

where  $\sigma$  denotes the  $(d-1)$ -dimensional surface measure on  $\Sigma$ . Since  $\Sigma$  has  $\mathcal{C}^2$  regularity, for every  $x$  sufficiently close to  $\Sigma$  there exists a unique perpendicular projection  $y_x \in \Sigma$  such that

$$|x - y_x| = d(x, \Sigma) \doteq \min_{y \in \Sigma} |x - y|. \quad (3.2)$$

To fix the ideas, assume that this perpendicular projection  $x \mapsto y_x$  is well defined whenever  $d(x, \Sigma) < r_0$ , for some curvature radius  $r_0 > 0$ . In the following,  $\mathbf{n}_x = \frac{x - y_x}{|x - y_x|}$  denotes the unit normal to the surface  $\Sigma$  at the point  $y_x$ .

**Lemma 1.** *Let the function  $\varphi$  satisfy (A1)-(A2). Then the vector field  $\mathbf{v}$  in (3.1) satisfies*

$$\lim_{d(x,\Sigma)\rightarrow 0} \langle \mathbf{n}_x, \mathbf{v}(x) \rangle = +\infty. \quad (3.3)$$

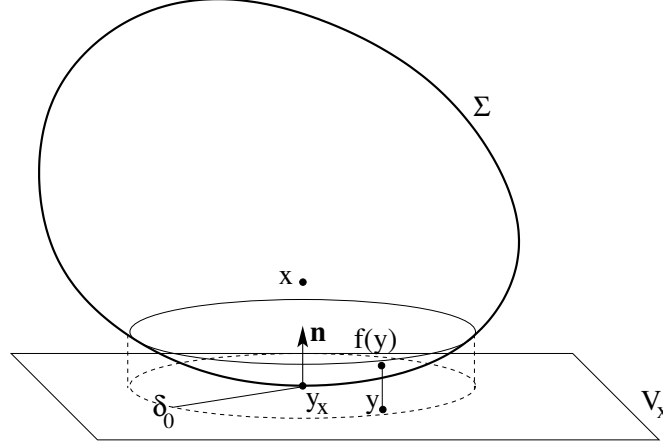


Figure 1: The portion of  $\Sigma$  near the point  $y_x$  can be represented as the graph of a function  $f$ , as in (4.3).

**Proof. 1.** Consider any point  $x$  sufficiently close to  $\Sigma$  so that the perpendicular projection  $y_x \doteq \pi(x)$  at (3.2) is well defined. Let  $V_x$  be the hyperplane tangent to  $\Sigma$  at  $y_x$  and let  $\mathbf{n}_x$  be the unit normal vector. As shown in Fig. 1, in a neighborhood of  $y_x$ , the surface  $\Sigma$  can be expressed as the graph of a function  $f : V_x \mapsto \mathbb{R}$ . More precisely, call  $\varepsilon = d(x, \Sigma) = |x - y_x|$ . Without loss of generality, we can choose a system of coordinates such that  $y_x = 0$ . Notice that, by the regularity and compactness of the surface  $\Sigma$ , we can assume that the radius  $\delta_0$  of the ball where the function  $f$  is defined is independent of  $y_x \in \Sigma$ . Moreover, the  $\mathcal{C}^2$  norm of  $f$  remains uniformly bounded. By construction we have

$$f(0) = 0, \quad \nabla f(0) = 0, \quad \|f\|_{\mathcal{C}^2(B(0,\delta_0))} \leq C_0, \quad (3.4)$$

for some uniform constant  $C_0$ . Defining the constant  $\kappa = \sqrt{2/C_0} > 0$ , by (3.4) one has the implication

$$|y - y_x| < \kappa|x - y_x|^{\frac{1}{2}} \implies \langle \mathbf{n}_x, x - y - f(y)\mathbf{n}_x \rangle \geq 0. \quad (3.5)$$

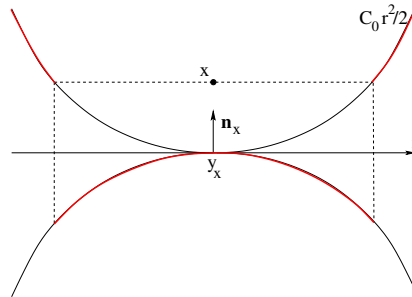


Figure 2: The estimates (3.10)-(3.11).

2. Next, consider the decomposition  $\Sigma = \Sigma_1 \cup \Sigma_2 \cup \Sigma_3$ , where

$$\begin{cases} \Sigma_1 &= \{y + f(y)\mathbf{n}_x; |y| \leq \kappa|x|^{1/2}\}, \\ \Sigma_2 &= \{y + f(y)\mathbf{n}_x; \kappa|x|^{1/2} < y < \delta_0\}, \\ \Sigma_3 &= \Sigma \setminus (\Sigma_1 \cup \Sigma_2). \end{cases} \quad (3.6)$$

Based on (3.6), we shall estimate the vector field  $\mathbf{v}$  by splitting the integral in (3.1) in three parts:

$$\mathbf{v}_i(x) = \int_{\Sigma_i} \varphi(|x - \xi|) \frac{x - \xi}{|x - \xi|} d\sigma(\xi), \quad i = 1, 2, 3, \quad (3.7)$$

so that

$$\mathbf{v}(x) = \mathbf{v}_1(x) + \mathbf{v}_2(x) + \mathbf{v}_3(x).$$

In the following, for  $y \in V_x$ , we use the bound  $|f(y)| \leq \frac{C_0}{2}|y|^2$  and the identities

$$|x| = \varepsilon, \quad |y| = r, \quad |x - y| = \sqrt{\varepsilon^2 + r^2}, \quad \mathbf{n}_x = \frac{x}{|x|}. \quad (3.8)$$

As long as  $|y| \leq \delta_0$ , the above implies

$$|x - y - f(y)\mathbf{n}_x|^2 \in \left[ \varepsilon^2 + (1 - C_0\varepsilon)r^2, \varepsilon^2 + (1 + C_0\varepsilon)r^2 + C_0^2r^4/4 \right]. \quad (3.9)$$

Using (3.9) and the monotonicity of  $\varphi$  we obtain the estimates

$$\begin{aligned} \langle \mathbf{n}_x, \mathbf{v}_1(x) \rangle &\geq c_0 \int_0^{\kappa\varepsilon^{1/2}} r^{d-2} \left( \varepsilon - \frac{C_0}{2}r^2 \right) \cdot \frac{\varphi\left(\sqrt{(\varepsilon + C_0r^2/2)^2 + r^2}\right)}{\sqrt{(\varepsilon + C_0r^2/2)^2 + r^2}} dr \\ &\geq c_0 \int_0^{\kappa\varepsilon^{1/2}} r^{d-2} \left( \varepsilon - \frac{C_0}{2}r^2 \right) \cdot \frac{\varphi\left(\sqrt{\varepsilon^2 + (1 + 2C_0\varepsilon)r^2}\right)}{\sqrt{\varepsilon^2 + (1 + 2C_0\varepsilon)r^2}} dr \\ &\geq \frac{3}{4}c_0 \int_0^{\frac{\kappa\varepsilon^{1/2}}{2}} \varepsilon r^{d-2} \cdot \frac{\varphi\left(\sqrt{\varepsilon^2 + (1 + 2C_0\varepsilon)r^2}\right)}{\sqrt{\varepsilon^2 + (1 + 2C_0\varepsilon)r^2}} dr, \end{aligned} \quad (3.10)$$

$$\begin{aligned} |\langle \mathbf{n}_x, \mathbf{v}_2(x) \rangle| &\leq c_0 \int_{\kappa\varepsilon^{1/2}}^{\delta_0} r^{d-2} \varphi\left(\sqrt{\varepsilon^2 + (1 - C_0\varepsilon)r^2}\right) \cdot \frac{\varepsilon + C_0r^2/2}{\sqrt{\varepsilon^2 + (1 - C_0\varepsilon)r^2}} dr \\ &\leq C_1 \cdot \int_{\kappa\varepsilon^{1/2}}^{\delta_0} \frac{\varphi\left(\sqrt{\varepsilon^2 + (1 - C_0\varepsilon)r^2}\right)}{\sqrt{\varepsilon^2 + (1 - C_0\varepsilon)r^2}} \cdot r^d dr, \end{aligned} \quad (3.11)$$

$$|\langle \mathbf{n}_x, \mathbf{v}_3(x) \rangle| \leq C, \quad (3.12)$$

for some constants  $C, C_1, c_0 > 0$ . Performing the change of variable  $s = r\sqrt{1 + 2C_0\varepsilon}$  in (3.10), one finds

$$\begin{aligned} \langle \mathbf{n}_x, \mathbf{v}_1(x) \rangle &\geq C_2 \cdot \int_0^{\frac{1}{2}\kappa\varepsilon^{1/2}} \varepsilon s^{d-2} \cdot \frac{\varphi\left(\sqrt{\varepsilon^2 + s^2}\right)}{\sqrt{\varepsilon^2 + s^2}} ds \\ &\geq \frac{4C_2}{\kappa^2} \cdot \int_0^{\frac{1}{2}\kappa\varepsilon^{1/2}} s^d \cdot \frac{\varphi\left(\sqrt{\varepsilon^2 + s^2}\right)}{\sqrt{\varepsilon^2 + s^2}} ds \end{aligned}$$

for some constant  $C_2 > 0$ . Setting  $t = \sqrt{\varepsilon^2 + s^2}$ , we estimate

$$\begin{aligned} \langle \mathbf{n}_x, \mathbf{v}_1(x) \rangle &\geq \frac{4C_2}{\kappa^2} \cdot \int_{\varepsilon}^{\sqrt{\varepsilon^2 + \frac{1}{4}\kappa^2\varepsilon}} (t^2 - \varepsilon^2)^{\frac{d-1}{2}} \cdot \varphi(t) dt \\ &\geq \frac{4C_2}{\kappa^2} \cdot \int_{2\varepsilon}^{\frac{1}{2}\kappa\varepsilon^{1/2}} (t^2 - \varepsilon^2)^{\frac{d-1}{2}} \cdot \varphi(t) dt \\ &\geq \frac{4C_2}{\kappa^2} \cdot \left(\frac{3}{4}\right)^{\frac{d-1}{2}} \cdot \int_{2\varepsilon}^{\frac{1}{2}\kappa\varepsilon^{1/2}} t^{d-1} \cdot \varphi(t) dt. \end{aligned}$$

Similarly, using the variable  $s = \sqrt{\varepsilon^2 + (1 - C_0\varepsilon)r^2}$  in (3.11), we have

$$\begin{aligned} \int_{\kappa\varepsilon^{1/2}}^{\delta_0} \frac{\varphi\left(\sqrt{\varepsilon^2 + (1 - C_0\varepsilon)r^2}\right)}{\sqrt{\varepsilon^2 + (1 - C_0\varepsilon)r^2}} \cdot r^d dr &= \frac{1}{1 - C_0\varepsilon} \cdot \int_{\sqrt{\varepsilon^2 + (1 - C_0\varepsilon)\kappa^2\varepsilon}}^{\sqrt{\delta^2 + \varepsilon(\varepsilon - C_0\delta^2)}} \varphi(s) \cdot r^{d-1} ds \\ &\leq \frac{1}{(1 - C_0\varepsilon)^{\frac{d+1}{2}}} \cdot \int_{\sqrt{\varepsilon^2 + (1 - C_0\varepsilon)\kappa^2\varepsilon}}^{\sqrt{\delta^2 + \varepsilon(\varepsilon - C_0\delta^2)}} \varphi(s) \cdot s^{d-1} ds. \end{aligned}$$

Thus, for  $\varepsilon > 0$  sufficiently small, it holds

$$|\langle \mathbf{n}_x, \mathbf{v}_2(x) \rangle| \leq C_3 \cdot \int_{\frac{1}{2}\kappa\varepsilon^{1/2}}^{\delta_0} s^{d-1} \cdot \varphi(s) ds$$

for some constant  $C_3 > 0$ . Setting  $\tilde{\varepsilon} = 2\varepsilon$  we obtain

$$\langle \mathbf{n}_x, \mathbf{v}_1(x) \rangle \geq C_4 \cdot \int_{\tilde{\varepsilon}}^{\kappa_1\tilde{\varepsilon}^{1/2}} s^{d-1} \cdot \varphi(s) ds \quad (3.13)$$

and

$$|\langle \mathbf{n}_x, \mathbf{v}_2(x) \rangle| \leq C_3 \cdot \int_{\kappa_1\tilde{\varepsilon}^{1/2}}^{\delta_0} s^{d-1} \cdot \varphi(s) ds, \quad (3.14)$$

for  $\kappa_1 = \frac{\kappa}{2\sqrt{2}}$  and some constant  $C_4 > 0$ . In particular,

$$\frac{\langle \mathbf{n}_x, \mathbf{v}_1(x) \rangle}{|\langle \mathbf{n}_x, \mathbf{v}_2(x) \rangle|} \geq \frac{C_4}{C_3} \cdot \frac{\int_{\tilde{\varepsilon}}^{\kappa_1\tilde{\varepsilon}^{1/2}} s^{d-1} \cdot \varphi(s) ds}{\int_{\kappa_1\tilde{\varepsilon}^{1/2}}^{\delta_0} s^{d-1} \cdot \varphi(s) ds} = \frac{C_4}{C_3} \cdot \left[ \frac{\int_{\tilde{\varepsilon}}^{\delta_0} s^{d-1} \cdot \varphi(s) ds}{\int_{\kappa_1\tilde{\varepsilon}^{1/2}}^{\delta_0} s^{d-1} \cdot \varphi(s) ds} - 1 \right].$$

If the assumption **(A2)** holds, then one has

$$\limsup_{r \rightarrow 0^+} r^d \cdot \varphi(r) = +\infty.$$

This implies

$$\lim_{r \rightarrow 0^+} \int_r^{\delta_0} s^{d-1} \cdot \varphi(s) ds \geq \limsup_{r \rightarrow 0^+} \int_r^{2r} s^{d-1} \cdot \varphi(s) ds \geq \frac{2^d - 1}{d2^d} \cdot \limsup_{r \rightarrow 0^+} (2r)^d \cdot \varphi(2r) = +\infty.$$

Applying L'Hopital's rule and the assumption **(A2)**, we obtain

$$\begin{aligned} \lim_{\tilde{\varepsilon} \rightarrow 0^+} \frac{\int_{\kappa_1\tilde{\varepsilon}^{1/2}}^{\delta_0} s^{d-1} \cdot \varphi(s) ds}{\int_{\tilde{\varepsilon}}^{\delta_0} s^{d-1} \cdot \varphi(s) ds} &= \frac{\kappa_1^d}{2} \cdot \lim_{\tilde{\varepsilon} \rightarrow 0^+} \frac{\tilde{\varepsilon}^{d/2-1} \cdot \varphi(\kappa_1\tilde{\varepsilon}^{1/2})}{\tilde{\varepsilon}^{d-1} \varphi(\tilde{\varepsilon})} \\ &= \frac{\kappa_1^d}{2} \cdot \lim_{\tilde{\varepsilon} \rightarrow 0^+} \frac{\tilde{\varepsilon}^{d/2} \cdot \varphi(\kappa_1\tilde{\varepsilon}^{1/2})}{\tilde{\varepsilon}^d \varphi(\tilde{\varepsilon})} = 0. \end{aligned}$$



This yields

$$\left| \frac{\langle \mathbf{n}_x, \mathbf{v}_2(x) \rangle}{\langle \mathbf{n}_x, \mathbf{v}_1(x) \rangle} \right| \leq \frac{C_3}{C_4} \cdot \frac{\int_{\kappa_1 \bar{\varepsilon}^{1/2}}^{\delta_0} s^{d-1} \cdot \varphi(s) ds}{\int_{\bar{\varepsilon}}^{\kappa_1 \bar{\varepsilon}^{1/2}} s^{d-1} \cdot \varphi(s) ds} \rightarrow 0 \quad \text{as} \quad \varepsilon \rightarrow 0.$$

Finally, observing that

$$|\langle \mathbf{n}_x, \mathbf{v}_3(x) \rangle| \leq C,$$

the limit behavior (3.3) is clear. This achieves the proof, because the constants  $C_0, \delta_0, \kappa$  are independent of the point  $y_x \in \Sigma$ .  $\square$

In the following, for  $x \notin \Sigma(t)$  we denote by  $\pi(t, x)$  the perpendicular projection of  $x$  on  $\Sigma(t)$ , and call

$$\mathbf{n}(t, x) \doteq \frac{x - \pi(t, x)}{|x - \pi(t, x)|} \quad (3.15)$$

the unit normal vector.

**Corollary 1.** *Consider a family of compact  $\mathcal{C}^2$  surfaces  $\Sigma(t)$ , continuously depending on  $t \in [0, T]$ , with uniformly bounded curvature. Define the vector fields*

$$\mathbf{v}(t, x) \doteq \int_{\Sigma(t)} \varphi(|x - \xi|) \frac{x - \xi}{|x - \xi|} d\sigma(\xi). \quad (3.16)$$

Then for any  $N$  there exists  $\delta > 0$  such that, for all  $t \in [0, T]$ ,

$$d(x, \Sigma(t)) < \delta \quad \implies \quad \langle \mathbf{n}(t, x), \mathbf{v}(t, x) \rangle \geq N. \quad (3.17)$$

Indeed, this follows from Lemma 1, observing that the limit in (3.3) is uniform over all surfaces  $\Sigma(t)$ .

## Proof of Theorem 2.

1. Let the compact sets  $\Omega_1 \subset \text{int} \Omega_0$  be given, with  $\Omega_1$  simply connected. To fix the ideas, assume

$$B(\Omega_1, \rho) \subset \Omega_0, \quad (3.18)$$

for some radius  $\rho > 0$ . Given  $T > 0$  and  $0 < \varepsilon < \rho$ , we can find a decreasing family of compact sets  $t \mapsto V(t)$  with  $\mathcal{C}^2$  boundary, as in (1.10)-(1.11), such that

$$B(\Omega_0, \varepsilon) \subset V(0), \quad B(\Omega_1, \varepsilon/2) \subset V(T) \subset B(\Omega_1, 3\varepsilon/4). \quad (3.19)$$

Call  $\Sigma(t) \doteq \partial V(t)$  the boundaries of these sets and define the vector fields

$$\mathbf{w}(t, x) \doteq \delta_0 \cdot \int_{\Sigma(t)} \varphi(|x - \xi|) \frac{x - \xi}{|x - \xi|} d\sigma(\xi). \quad (3.20)$$

Here the constant  $\delta_0 > 0$  is chosen small enough so that

$$\delta_0 \cdot \int_{\Sigma(t)} d\sigma \leq 1 \quad \text{for all } t \in [0, T], \quad (3.21)$$

$$|\mathbf{w}(t, x)| < \frac{\varepsilon}{8T} \quad \text{for all } x \in B(\Omega_1, \varepsilon/2), \quad t \in [0, T]. \quad (3.22)$$

**2.** For any point  $x_0 \in \Omega_0$ , denote by  $t \mapsto x(t, x_0)$  the solution of

$$\dot{x}(t) = \mathbf{w}(t, x(t)), \quad x(0) = x_0. \quad (3.23)$$

We claim that

$$x(t, x_0) \in \text{int } V(t) \quad \text{for all } t \in [0, T]. \quad (3.24)$$

To prove (3.24), let  $L$  be a Lipschitz constant for the multifunction  $t \mapsto \Sigma(t)$ , so that the Hausdorff distance between the two boundaries satisfies

$$d_H(\Sigma(s), \Sigma(t)) \leq L(t - s) \quad \text{for any } 0 < s < t < T. \quad (3.25)$$

For any trajectory  $t \mapsto x(t)$  of (3.23), (3.20), consider the distance

$$d(t) = \text{dist}(x(t), \Sigma(t))$$

of  $x(t)$  from the boundary  $\Sigma(t) = \partial V(t)$ . By Corollary 1 there is a constant  $\varepsilon_1 \in ]0, \varepsilon]$  such that, for any  $x \in \text{int } V(t)$  with  $\text{dist}(x, \Sigma(t)) \leq \varepsilon_1$ , one has

$$\left\langle \mathbf{n}(t, x), \int_{\Sigma(t)} \varphi(|x - \xi|) \frac{x - \xi}{|x - \xi|} d\sigma(\xi) \right\rangle > \frac{L}{\delta_0}. \quad (3.26)$$

In view of (3.26) and (3.25), if  $d(x(t), \Sigma(t)) \leq \varepsilon_1$ , then the time derivative of the distance  $d(t)$  satisfies

$$\begin{aligned} \dot{d}(t) &\geq -L + \left\langle \mathbf{n}(t, x(t)), \mathbf{w}(t, x(t)) \right\rangle \\ &= -L + \left\langle \mathbf{n}(t, x(t)), \delta_0 \cdot \int_{\Sigma(t)} \varphi(|x(t) - \xi|) \frac{x(t) - \xi}{|x(t) - \xi|} d\sigma(\xi) \right\rangle \\ &> -L + \delta_0 \cdot \frac{L}{\delta_0} = 0. \end{aligned} \quad (3.27)$$

If  $x(t)$  is a trajectory starting inside  $\Omega_0$ , then  $d(0) \geq \varepsilon \geq \varepsilon_1$ . By (3.27) we thus have  $d(t) \geq \varepsilon_1$  for all  $t \in [0, T]$ .

We conclude this step by observing that, for every  $x_0 \in \overline{B}(\Omega_1, \varepsilon/4)$ , by (3.22) the corresponding trajectory satisfies

$$|x(t, x_0) - x_0| \leq \frac{\varepsilon t}{8T} \quad \text{for all } t \in [0, T]. \quad (3.28)$$

**3.** Relying on the approximation procedure developed in [4], we claim that there exists a Lipschitz control  $t \mapsto \xi(t)$  for (1.2)-(1.3) that produces almost the same trajectories as (3.23). More precisely, calling  $t \mapsto x^\xi(t, x_0)$  the solution to

$$\dot{x} = \mathbf{v}(x, \xi(t)), \quad x(0) = x_0, \quad (3.29)$$

for every  $t \in [0, T]$  and  $x_0 \in \Omega_0$  one has

$$|x^\xi(t, x_0) - x(t, x_0)| < \frac{\varepsilon}{8}. \quad (3.30)$$

Toward this goal, for any  $t \in [0, T]$ , define  $\mu^t$  to be the  $(d-1)$ -dimensional measure supported on  $\Sigma(t)$ , so that

$$\mu^t(A) = \int_{A \cap \Sigma(t)} d\sigma$$

for every open set  $A \subset \mathbb{R}^d$ . By (3.21) it follows

$$\delta_0 \mu^t(\mathbb{R}^d) = \delta_0 \cdot [\text{surface area of } \Sigma(t)] \leq 1 \quad \text{for all } t \in [0, T].$$

We now choose a point  $\bar{x} \in \mathbb{R}^d$  very far from the origin and define the probability measure

$$\tilde{\mu}^t = \delta_0 \mu^t + \left(1 - \delta_0 \mu^t(\mathbb{R}^d)\right) m_{\bar{x}}$$

where  $m_{\bar{x}}$  denotes a unit Dirac mass at  $\bar{x}$ .

Notice that, as  $|\bar{x}| \rightarrow +\infty$ , by the second limit in (1.1) the vector

$$\tilde{\mathbf{w}}(t, x) \doteq \int \varphi(|x - \xi|) \frac{x - \xi}{|x - \xi|} d\tilde{\mu}^t(\xi) \quad (3.31)$$

approaches  $\delta_0 \mathbf{w}(t, x)$ , uniformly on compact subsets of  $\mathbb{R}^d \setminus \Sigma(t)$ .

Given an integer  $n \geq 1$ , we split the interval  $[0, T]$  into  $n$  equal subintervals, inserting the points  $t_i = iT/n$ ,  $i = 0, 1, \dots, n$ . For each  $i$ , the probability measure  $\tilde{\mu}^{t_i}$  can now be approximated by the sum of  $N$  equal masses, say located at  $\xi_{i1}, \dots, \xi_{iN}$ . Defining the time step  $h \doteq \frac{T}{nN}$ , we then consider the control function

$$\xi(t) = \xi_{ij} \quad \text{if } t_i + (j-1)h < t \leq t_i + jh. \quad (3.32)$$

The same arguments used in [4] now show that, as  $n, N \rightarrow \infty$ , by suitably choosing the points  $\xi_{ij}$ , trajectories of the ODE (1.3), (3.32) converge to the corresponding trajectories of  $\dot{x} = \tilde{\mathbf{v}}(t, x)$ . Moreover, the convergence is uniform for all initial data in the compact set  $\Omega_0 \subset \mathbb{R}^d \setminus \Sigma(0)$ .

Finally, we can replace the piecewise constant function  $\xi(\cdot)$  by a Lipschitz function  $\tilde{\xi}(\cdot)$ . If  $\|\tilde{\xi} - \xi\|_{\mathbf{L}^1}$  is sufficiently small, the corresponding trajectories still satisfy the same estimate (3.30).

**4.** Recalling that

$$x(T, x_0) \in V(T) \subseteq B(\Omega_1, 3\varepsilon/4),$$

by (3.30) we now conclude

$$\Omega^\xi(T) = \{x^\xi(T, x_0); x_0 \in \Omega_0\} \subseteq B(\Omega_1, \varepsilon). \quad (3.33)$$

This establishes the second inclusion in (1.6).

To prove the first inclusion, consider the continuous map  $x_0 \mapsto x^\xi(T, x_0)$  from the compact set  $\overline{B}(\Omega_1, \varepsilon/4)$  into  $\mathbb{R}^d$ . By (3.28) and (3.30) it follows

$$|x^\xi(T, x_0) - x_0| \leq \frac{\varepsilon}{4} \quad \text{for all } x_0 \in \overline{B}\left(\Omega_1, \frac{\varepsilon}{4}\right). \quad (3.34)$$

For any given  $y \in \Omega_1$ , define the continuous map  $g^y : \overline{B}(0, \varepsilon/4) \mapsto \mathbb{R}^d$  by setting

$$g^y(z) \doteq x^\xi(T, y - z) - (y - z) \quad \text{for all } z \in \overline{B}(0, \varepsilon/4).$$

By (3.34) one has

$$g^y(\overline{B}(0, \varepsilon/4)) \subseteq \overline{B}(0, \varepsilon/4).$$

Therefore, Brouwer's fixed point theorem implies

$$g^y(z_0) = z_0 \quad \text{for some } z_0 \in \overline{B}(0, \varepsilon/4).$$

This yields

$$y = x^\xi(T, x_0) \quad \text{with} \quad x_0 = y - z_0 \in \overline{B}(y, \varepsilon/4).$$

Hence  $\Omega_1 \subseteq \Omega^\xi(T)$ .

**5.** Finally, to pass from approximate controllability to exact controllability one can split the interval  $[0, T]$ , inserting an increasing sequence of times  $\tau_j$  with  $\tau_j \rightarrow T-$  as  $j \rightarrow \infty$ . Then construct Lipschitz controls  $t \mapsto \xi(t)$  on each subinterval  $[\tau_{j-1}, \tau_j]$  such that the corresponding sets  $\Omega^\xi(\tau_j)$  satisfy

$$\overline{B}(\Omega_1, 2^{-j}) \subseteq \Omega^\xi(\tau_j) \subseteq \overline{B}(\Omega_1, 2^{1-j}).$$

□

## 4 Approximating a sweeping process

The key tool for the proof of Theorem 3 is the following lemma, which improves on Lemma 1 under the stronger assumption **(A2')**.

**Lemma 2.** *Let  $\Omega \subset \mathbb{R}^d$  be a compact set with  $\mathcal{C}^2$  boundary  $\Sigma = \partial\Omega$ . Let  $\mathbf{v}$  be the vector field in (3.1). If the function  $\varphi$  satisfies **(A1)**-**(A2')**, then*

$$|\mathbf{v}(x)| \rightarrow +\infty \quad \text{as } d(x, \Sigma) \rightarrow 0, \quad (4.1)$$

$$\left| \frac{\mathbf{v}(x)}{|\mathbf{v}(x)|} - \frac{x - \pi(x)}{|x - \pi(x)|} \right| \rightarrow 0 \quad \text{as } d(x, \Sigma) \rightarrow 0. \quad (4.2)$$

**Proof. 1.** Consider any point  $x$  sufficiently close to  $\Sigma$  so that the perpendicular projection  $y_x \doteq \pi(x)$  at (3.2) is well defined. As in the proof of Lemma 1, in a neighborhood of  $y_x$ , the surface  $\Sigma$  can be expressed as the graph of a function  $f : V_x \mapsto \mathbb{R}$ . More precisely, call  $\varepsilon = d(x, \Sigma) = |x - y_x|$ . Then, given  $\frac{1}{2} < \alpha < 1$ , we can write  $\Sigma = \Sigma_1 \cup \Sigma_2 \cup \Sigma_3$ , where

$$\begin{cases} \Sigma_1 &= \{y + f(y) \mathbf{n}_x; \ y \in V_x, \ |y| \leq \varepsilon^\alpha\}, \\ \Sigma_2 &= \{y + f(y) \mathbf{n}_x; \ y \in V_x, \ \varepsilon^\alpha < |y| \leq \delta_0\}, \\ \Sigma_3 &= \Sigma \setminus (\Sigma_1 \cup \Sigma_2). \end{cases} \quad (4.3)$$

Notice that, by the regularity and compactness of the surface  $\Sigma$ , we can assume that the radius  $\delta_0$  of the ball where the function  $f$  is defined is independent of  $y_x \in \Sigma$ . Moreover, the  $\mathcal{C}^2$  norm of  $f$  remains uniformly bounded.

Without loss of generality, in the following computations we shall assume  $y_x = 0 \in \mathbb{R}^d$ . By construction we again have the bounds (3.4), valid for some constant  $C_0$ , uniform w.r.t.  $y_x \in \Sigma$ . We shall estimate the vector field

$$\mathbf{v}(x) = \mathbf{v}_1(x) + \mathbf{v}_2(x) + \mathbf{v}_3(x)$$

by splitting the integral (3.1) in three parts, as in (3.7). Notice, however, that now we refer to the different decomposition (4.3) of the surface  $\Sigma$ .

**2.** Calling  $J(y) = \sqrt{1 + |\nabla f(y)|^2}$  the Jacobian determinant of the map  $y \mapsto y + f(y)\mathbf{n}_x$  from  $V_x \cap B(y_x, \delta_0)$  into  $\Sigma$ , we have

$$\mathbf{v}_1(x) = \int_{|y| < \varepsilon^\alpha} \varphi(|x - y - f(y)\mathbf{n}_x|) \cdot \frac{x - y - f(y)\mathbf{n}_x}{|x - y - f(y)\mathbf{n}_x|} J(y) dy. \quad (4.4)$$

We write

$$\mathbf{v}_1(x) = \mathbf{v}_{11}(x) + \mathbf{v}_{12}(x),$$

where

$$\mathbf{v}_{11}(x) = \int_{|y| < \varepsilon^\alpha} \varphi(|x - y|) \frac{x - y}{|x - y|} dy, \quad \mathbf{v}_{12}(x) = \mathbf{v}_1(x) - \mathbf{v}_{11}(x). \quad (4.5)$$

Notice that  $\mathbf{v}_{11}(x)$  is a vector parallel to  $\mathbf{n}_x$  and is computed as

$$\mathbf{v}_{11}(x) = \left( c_0 \int_0^{\varepsilon^\alpha} r^{d-2} \varphi(\sqrt{\varepsilon^2 + r^2}) \cdot \frac{\varepsilon}{\sqrt{\varepsilon^2 + r^2}} dr \right) \cdot \mathbf{n}_x, \quad (4.6)$$

for some constant  $c_0 > 0$ . Hence, in order to obtain the limit (4.2), let's first prove that

$$\frac{|\mathbf{v}_{12}(x)|}{|\mathbf{v}_{11}(x)|} \rightarrow 0 \quad \text{as } \varepsilon \rightarrow 0. \quad (4.7)$$

The vector  $\mathbf{v}_{12}(x)$  satisfies

$$\begin{aligned} \mathbf{v}_{12}(x) &= \int_{|y| < \varepsilon^\alpha} \left[ \varphi(|x - y - f(y)\mathbf{n}_x|) - \varphi(|x - y|) \right] \cdot \frac{x - y - f(y)\mathbf{n}_x}{|x - y - f(y)\mathbf{n}_x|} J(y) dy \\ &\quad + \int_{|y| < \varepsilon^\alpha} \varphi(|x - y|) \cdot \left\{ \frac{x - y - f(y)\mathbf{n}_x}{|x - y - f(y)\mathbf{n}_x|} - \frac{x - y}{|x - y|} \right\} J(y) dy \\ &\quad + \int_{|y| < \varepsilon^\alpha} \varphi(|x - y|) \cdot \frac{x - y}{|x - y|} [J(y) - 1] dy \\ &= A_1 + A_2 + A_3. \end{aligned} \quad (4.8)$$

In the following, recalling (3.4), we use the bounds

$$|f(y)| \leq \frac{C_0}{2} |y|^2, \quad |J(y) - 1| = \mathcal{O}(1) \cdot |y|^2, \quad (4.9)$$

and the identities (3.8). Since the function  $\varphi$  is decreasing and  $r \leq \varepsilon^\alpha$ , using (3.9) one obtains the estimate

$$\begin{aligned} |A_1| &\leq C \cdot \int_0^{\varepsilon^\alpha} r^{d-2} \left[ \varphi\left(\sqrt{\varepsilon^2 + (1 - C_0\varepsilon)r^2}\right) - \varphi\left(\sqrt{\varepsilon^2 + r^2}\right) \right] dr \\ &\quad + C \cdot \int_0^{\varepsilon^\alpha} r^{d-2} \left[ \varphi\left(\sqrt{\varepsilon^2 + r^2}\right) - \varphi\left(\sqrt{\varepsilon^2 + (1 + 2C_0\varepsilon)r^2}\right) \right] dr \\ &\doteq A_{11} + A_{12}, \end{aligned} \tag{4.10}$$

for some constant  $C$  and all  $\varepsilon > 0$  sufficiently small. In addition, we have

$$\begin{aligned} \left| \frac{x - y - f(y)\mathbf{n}_x}{|x - y - f(y)\mathbf{n}_x|} - \frac{x - y}{|x - y|} \right| &\leq \left| \frac{|x - y| - |x - y - f(y)\mathbf{n}_x|}{|x - y|} \right| + \frac{|f(y)|}{|x - y|} \\ &\leq 2 \cdot \frac{|f(y)|}{|x - y|} \leq C_0 \cdot \frac{|y|^2}{|x - y|}. \end{aligned} \tag{4.11}$$

Consequently,

$$\begin{aligned} |A_2| &\leq C \cdot \int_{|y| < \varepsilon^\alpha} \varphi(|x - y|) \cdot \frac{|y|^2}{|x - y|} dy = C \cdot c_0 \int_0^{\varepsilon^\alpha} r^{d-2} \varphi\left(\sqrt{\varepsilon^2 + r^2}\right) \cdot \frac{r^2}{\sqrt{\varepsilon^2 + r^2}} dr \\ &\leq C \cdot c_0 \int_0^{\varepsilon^\alpha} r^{d-2} \varphi\left(\sqrt{\varepsilon^2 + r^2}\right) \cdot \frac{\varepsilon^{2\alpha}}{\sqrt{\varepsilon^2 + r^2}} dr \end{aligned} \tag{4.12}$$

and

$$|A_3| \leq C \cdot c_0 \int_0^{\varepsilon^\alpha} r^{d-2} \varphi\left(\sqrt{\varepsilon^2 + r^2}\right) r^2 dr \leq C \cdot c_0 \int_0^{\varepsilon^\alpha} r^{d-2} \varphi\left(\sqrt{\varepsilon^2 + r^2}\right) \cdot \frac{\varepsilon^{2\alpha}}{\sqrt{\varepsilon^2 + r^2}} dr \tag{4.13}$$

for a suitable constant  $C$ .

Since we are choosing  $\alpha > 1/2$ , comparing (4.12) and (4.13) with (4.6), it is clear that

$$\frac{|A_2| + |A_3|}{|\mathbf{v}_{11}(x)|} \leq 2C\varepsilon^{2\alpha-1} \rightarrow 0 \quad \text{as } \varepsilon \rightarrow 0. \tag{4.14}$$

Proving a similar estimate for  $A_1$  requires more work. Performing the variable change

$$s = \sqrt{1 - C_0\varepsilon} \cdot r,$$

one obtains

$$\begin{aligned} 0 &\leq \int_0^{\varepsilon^\alpha} r^{d-2} \left[ \varphi\left(\sqrt{\varepsilon^2 + (1 - C_0\varepsilon)r^2}\right) - \varphi\left(\sqrt{\varepsilon^2 + r^2}\right) \right] dr \\ &\leq (1 - C_0\varepsilon)^{-\frac{d-1}{2}} \int_0^{\varepsilon^\alpha \cdot \sqrt{1 - C_0\varepsilon}} s^{d-2} \varphi\left(\sqrt{\varepsilon^2 + s^2}\right) ds - \int_0^{\varepsilon^\alpha} r^{d-2} \varphi\left(\sqrt{\varepsilon^2 + r^2}\right) dr \\ &\leq \left[ (1 - C_0\varepsilon)^{-\frac{d-1}{2}} - 1 \right] \int_0^{\varepsilon^\alpha} r^{d-2} \varphi\left(\sqrt{\varepsilon^2 + r^2}\right) dr \\ &\leq C_1\varepsilon \int_0^{\varepsilon^\alpha} r^{d-2} \varphi\left(\sqrt{\varepsilon^2 + r^2}\right) dr, \end{aligned} \tag{4.15}$$

for some constant  $C_1$ . Recalling (4.10) and comparing with (4.6), we thus obtain

$$\begin{aligned}
A_{11} &\leq C_2 \varepsilon \int_0^{\varepsilon^\alpha} r^{d-2} \varphi(\sqrt{\varepsilon^2 + r^2}) dr \\
&\leq C_2 \sqrt{\varepsilon^2 + \varepsilon^{2\alpha}} \cdot \int_0^{\varepsilon^\alpha} r^{d-2} \varphi(\sqrt{\varepsilon^2 + r^2}) \frac{\varepsilon}{\sqrt{\varepsilon^2 + r^2}} dr \\
&= \frac{C_2}{c_0} \sqrt{\varepsilon^2 + \varepsilon^{2\alpha}} \cdot |\mathbf{v}_{11}(x)| \leq \frac{2C_2 \varepsilon^\alpha}{c_0} \cdot |\mathbf{v}_{11}(x)|
\end{aligned} \tag{4.16}$$

for some constant  $C_2$ . A similar argument yields

$$\begin{aligned}
A_{12} &\leq C \cdot \left[ \int_0^{\varepsilon^\alpha} r^{d-2} \varphi(\sqrt{\varepsilon^2 + r^2}) dr - \left( \frac{1}{1 + 2C_0 \varepsilon} \right)^{\frac{d-1}{2}} \cdot \int_0^{\varepsilon^\alpha \sqrt{1+2C_0 \varepsilon}} r^{d-2} \varphi(\sqrt{\varepsilon^2 + r^2}) dr \right] \\
&\leq C_3 \varepsilon \int_0^{\varepsilon^\alpha} r^{d-2} \varphi(\sqrt{\varepsilon^2 + r^2}) dr \leq \frac{2C_3 \varepsilon^\alpha}{c_0} \cdot |\mathbf{v}_{11}(x)|.
\end{aligned} \tag{4.17}$$

Putting together (4.10), (4.14), (4.16), and (4.17), we can compare the sizes of the vectors  $\mathbf{v}_{11}$  and  $\mathbf{v}_{12}$  in (4.5)–(4.8). Indeed, the previous analysis shows that

$$\frac{|\mathbf{v}_{12}(x)|}{|\mathbf{v}_{11}(x)|} \leq \frac{|A_1| + |A_2| + |A_3|}{|\mathbf{v}_{11}(x)|} \leq \frac{A_{11} + A_{12} + |A_2| + |A_3|}{|\mathbf{v}_{11}(x)|} \rightarrow 0 \quad \text{as} \quad \varepsilon \rightarrow 0. \tag{4.18}$$

**3.** In a similar fashion we now compute

$$\mathbf{v}_2(x) = \mathbf{v}_{21}(x) + \mathbf{v}_{22}(x), \tag{4.19}$$

where

$$\begin{aligned}
\mathbf{v}_{21}(x) &= \int_{\varepsilon^\alpha < |y| < \delta_0} \varphi(|x-y|) \cdot \frac{x-y}{|x-y|} dy \\
&= \left( c_0 \int_{\varepsilon^\alpha}^{\delta_0} r^{d-2} \varphi(\sqrt{\varepsilon^2 + r^2}) \cdot \frac{\varepsilon}{\sqrt{\varepsilon^2 + r^2}} dr \right) \mathbf{n}_x,
\end{aligned} \tag{4.20}$$

and

$$\begin{aligned}
\mathbf{v}_{22}(x) &= \int_{\varepsilon^\alpha < |y| < \delta_0} \left[ \varphi(|x-y-f(y)\mathbf{n}_x|) - \varphi(|x-y|) \right] \cdot \frac{x-y-f(y)\mathbf{n}_x}{|x-y-f(y)\mathbf{n}_x|} J(y) dy \\
&\quad + \int_{\varepsilon^\alpha < |y| < \delta_0} \varphi(|x-y|) \cdot \left\{ \frac{x-y-f(y)\mathbf{n}_x}{|x-y-f(y)\mathbf{n}_x|} - \frac{x-y}{|x-y|} \right\} J(y) dy \\
&\quad + \int_{\varepsilon^\alpha < |y| < \delta_0} \varphi(|x-y|) \cdot \frac{x-y}{|x-y|} [J(y) - 1] dy \\
&= B_1 + B_2 + B_3.
\end{aligned} \tag{4.21}$$

As in (4.12)–(4.13), we have

$$|B_2| + |B_3| \leq C_3 \cdot \int_{\varepsilon^\alpha}^{\delta_0} \frac{r^d}{\sqrt{\varepsilon^2 + r^2}} \cdot \varphi(\sqrt{\varepsilon^2 + r^2}) dr \leq C_3 \int_{\varepsilon^\alpha}^{\delta_0} r^{d-1} \varphi(\sqrt{\varepsilon^2 + r^2}) dr. \tag{4.22}$$

Using again (3.9) and the fact that  $\varphi$  is a decreasing function, we obtain

$$\begin{aligned}
|B_1| &\leq C \cdot \left[ \int_{\varepsilon^\alpha}^{\delta_0} r^{d-2} \left[ \varphi \left( \sqrt{\varepsilon^2 + (1 - C_0\varepsilon)r^2} \right) - \varphi \left( \sqrt{\varepsilon^2 + r^2} \right) \right] dr \right. \\
&\quad \left. + \int_{\varepsilon^\alpha}^{\delta_0} r^{d-2} \left[ \varphi \left( \sqrt{\varepsilon^2 + r^2} \right) - \varphi \left( \sqrt{\varepsilon^2 + (1 + C_0\varepsilon)r^2 + C_0^2 r^4/4} \right) \right] dr \right] \\
&\doteq B_{11} + B_{12}.
\end{aligned} \tag{4.23}$$

As in (4.15), performing the variable change  $s = \sqrt{1 - C_0\varepsilon} \cdot r$ , we obtain

$$\begin{aligned}
B_{11} &= C \cdot \int_{\varepsilon^\alpha}^{\delta_0} r^{d-2} \left[ \varphi \left( \sqrt{\varepsilon^2 + (1 - C_0\varepsilon)r^2} \right) - \varphi \left( \sqrt{\varepsilon^2 + r^2} \right) \right] dr \\
&= C \cdot \left[ (1 - C_0\varepsilon)^{-\frac{d-1}{2}} \int_{\varepsilon^\alpha \sqrt{1 - C_0\varepsilon}}^{\delta_0 \sqrt{1 - C_0\varepsilon}} s^{d-2} \varphi \left( \sqrt{\varepsilon^2 + s^2} \right) ds - \int_{\varepsilon^\alpha}^{\delta_0} r^{d-2} \varphi \left( \sqrt{\varepsilon^2 + r^2} \right) dr \right] \\
&\leq C_3\varepsilon \int_{\varepsilon^\alpha}^{\delta_0} r^{d-2} \varphi \left( \sqrt{\varepsilon^2 + r^2} \right) dr + C_3 \int_{\varepsilon^\alpha \sqrt{1 - C_0\varepsilon}}^{\varepsilon^\alpha} r^{d-2} \varphi \left( \sqrt{\varepsilon^2 + r^2} \right) dr
\end{aligned} \tag{4.24}$$

for a suitable constant  $C_3$ . Since  $\varphi$  is decreasing, for  $\varepsilon$  sufficiently small we have

$$\begin{aligned}
\int_0^{\varepsilon^\alpha} r^{d-2} \varphi \left( \sqrt{\varepsilon^2 + r^2} \right) dr &\geq \int_{\varepsilon^\alpha(1 - C_3\sqrt{\varepsilon})}^{\varepsilon^\alpha} r^{d-2} \varphi \left( \sqrt{\varepsilon^2 + r^2} \right) dr \\
&\geq (1 - C_3\sqrt{\varepsilon})^{d-2} \cdot \int_{\varepsilon^\alpha(1 - C_3\sqrt{\varepsilon})}^{\varepsilon^\alpha} \varepsilon^{d-2} \varphi \left( \sqrt{\varepsilon^2 + r^2} \right) dr \\
&\geq (1 - C_3\sqrt{\varepsilon})^{d-2} \cdot \frac{C_3\varepsilon^{\alpha+\frac{1}{2}}}{C_3\varepsilon^{\alpha+1}} \cdot \int_{\varepsilon^\alpha(1 - C_3\varepsilon)}^{\varepsilon^\alpha} r^{d-2} \varphi \left( \sqrt{\varepsilon^2 + r^2} \right) dr \\
&\geq \frac{1}{2\sqrt{\varepsilon}} \cdot \int_{\varepsilon^\alpha(1 - C_3\varepsilon)}^{\varepsilon^\alpha} r^{d-2} \varphi \left( \sqrt{\varepsilon^2 + r^2} \right) dr.
\end{aligned} \tag{4.25}$$

In turn, this yields

$$\begin{aligned}
B_{11} &\leq C_3\varepsilon \int_{\varepsilon^\alpha}^{\delta_0} r^{d-2} \varphi \left( \sqrt{\varepsilon^2 + r^2} \right) dr + 2C_3\sqrt{\varepsilon} \int_0^{\varepsilon^\alpha} r^{d-2} \varphi \left( \sqrt{\varepsilon^2 + r^2} \right) dr \\
&\leq C_3\varepsilon \int_{\varepsilon^\alpha}^{\delta_0} r^{d-2} \varphi \left( \sqrt{\varepsilon^2 + r^2} \right) dr + 2C_3\sqrt{\varepsilon + \varepsilon^{2\alpha-1}} \cdot \int_0^{\varepsilon^\alpha} \frac{\varepsilon r^{d-2}}{\sqrt{\varepsilon^2 + r^2}} \cdot \varphi \left( \sqrt{\varepsilon^2 + r^2} \right) dr \\
&\leq C_3\varepsilon \int_{\varepsilon^\alpha}^{\delta_0} r^{d-2} \varphi \left( \sqrt{\varepsilon^2 + r^2} \right) dr + \frac{4C_3\varepsilon^{\alpha-\frac{1}{2}}}{c_0} \cdot |\mathbf{v}_{11}(x)|.
\end{aligned} \tag{4.26}$$

Next, performing the change of variable  $t = \sqrt{(1 + C_0\varepsilon)r^2 + C_0^2 r^4/4}$ , we estimate

$$\int_{\varepsilon^\alpha}^{\delta_0} r^{d-2} \cdot \varphi \left( \sqrt{\varepsilon^2 + (1 + C_0\varepsilon)r^2 + C_0^2 r^4/4} \right) dr \geq \int_{\varepsilon^\alpha(1+2C_0\sqrt{\varepsilon})}^{\delta_0} \frac{t^{d-2}}{1 + C_4(\varepsilon + t^2)} \cdot \varphi \left( \sqrt{\varepsilon^2 + t^2} \right) dt$$



for a suitable constant  $C_4$ . This implies that

$$\begin{aligned}
B_{12} &\leq C \cdot \left[ \int_{\varepsilon^\alpha}^{\delta_0} r^{d-2} \varphi(\sqrt{\varepsilon^2 + r^2}) dr - \int_{\varepsilon^\alpha(1+2C_0\sqrt{\varepsilon})}^{\delta_0} \frac{r^{d-2}}{1+C_4(\varepsilon+r^2)} \cdot \varphi(\sqrt{\varepsilon^2 + r^2}) dr \right] \\
&\leq C \cdot \left[ \int_{\varepsilon^\alpha}^{\delta_0} \left(1 - \frac{1}{1+C_4(\varepsilon+r^2)}\right) r^{d-2} \varphi(\sqrt{\varepsilon^2 + r^2}) dr + \int_{\varepsilon^\alpha}^{\varepsilon^\alpha(1+2C_0\sqrt{\varepsilon})} r^{d-2} \varphi(\sqrt{\varepsilon^2 + r^2}) dr \right] \\
&\leq CC_4 \int_{\varepsilon^\alpha}^{\delta_0} (\varepsilon + r^2) r^{d-2} \varphi(\sqrt{\varepsilon^2 + r^2}) dr + C \int_{\varepsilon^\alpha}^{\varepsilon^\alpha(1+2C_0\sqrt{\varepsilon})} r^{d-2} \varphi(\sqrt{\varepsilon^2 + r^2}) dr.
\end{aligned}$$

As in (4.25), one estimates

$$\int_{\varepsilon^\alpha}^{\varepsilon^\alpha(1+2C_0\sqrt{\varepsilon})} r^{d-2} \varphi(\sqrt{\varepsilon^2 + r^2}) dr \leq 2\sqrt{\varepsilon} \int_0^{\varepsilon^\alpha} r^{d-2} \varphi(\sqrt{\varepsilon^2 + r^2}) dr$$

for  $\varepsilon$  sufficiently small. Thus, as in (4.23), we obtain

$$\begin{aligned}
B_{12} &\leq CC_4 \int_{\varepsilon^\alpha}^{\delta_0} (\varepsilon + r^2) r^{d-2} \varphi(\sqrt{\varepsilon^2 + r^2}) dr + 2C\sqrt{\varepsilon} \int_0^{\varepsilon^\alpha} r^{d-2} \varphi(\sqrt{\varepsilon^2 + r^2}) dr \\
&\leq CC_4 \int_{\varepsilon^\alpha}^{\delta_0} (\varepsilon + r^2) r^{d-2} \varphi(\sqrt{\varepsilon^2 + r^2}) dr + 4C\varepsilon^{\alpha-\frac{1}{2}} \cdot |\mathbf{v}_{11}(x)|.
\end{aligned} \tag{4.27}$$

Combining (4.21), (4.22), (4.23), (4.26), and (4.27), we finally obtain

$$|\mathbf{v}_{22}(x)| \leq C_5 \cdot \int_{\varepsilon^\alpha}^{\delta_0} (\varepsilon + r + r^2) r^{d-2} \varphi(\sqrt{\varepsilon^2 + r^2}) dr + C_5 \varepsilon^{\alpha-\frac{1}{2}} \cdot |\mathbf{v}_{11}(x)| \tag{4.28}$$

for some constant  $C_5$ .

**4.** Finally, since in (4.8) the integral over  $\Sigma_3$  involves functions which are uniformly bounded over  $\Sigma$ , we have a trivial bound of the form

$$|\mathbf{v}_3(x)| \leq C_6. \tag{4.29}$$

**5.** We now compare the sizes of  $\mathbf{v}_{22}(x)$  and  $\mathbf{v}_3(x)$  with  $\mathbf{v}_{11}(x)$ . From (4.6) it follows

$$|\mathbf{v}_{11}(x)| \geq c_0 \int_0^{\varepsilon^\alpha} r^d \cdot \frac{\varphi(\sqrt{\varepsilon^2 + r^2})}{\sqrt{\varepsilon^2 + r^2}} dr.$$

Performing the change of variable  $t = \sqrt{\varepsilon^2 + r^2}$  one obtains

$$|\mathbf{v}_{11}(x)| \geq c_0 \int_\varepsilon^{\sqrt{\varepsilon^2 + \varepsilon^{2\alpha}}} (t^2 - \varepsilon^2)^{\frac{d-1}{2}} \varphi(t) dt \geq c_0 \left(\frac{3}{4}\right)^{\frac{d-1}{2}} \cdot \int_{2\varepsilon}^{\varepsilon^\alpha} r^{d-1} \varphi(r) dr. \tag{4.30}$$

Recalling (4.28) and (4.29), we obtain

$$\frac{|\mathbf{v}_{22}(x)| + |\mathbf{v}_3(x)|}{|\mathbf{v}_{11}(x)|} \leq C_7 \cdot \frac{\int_{\varepsilon^\alpha}^{\delta_0} r^{d-1} \varphi(r) dr}{\int_{2\varepsilon}^{\varepsilon^\alpha} r^{d-1} \varphi(r) dr} + C_5 \cdot \varepsilon^{\alpha-\frac{1}{2}} + \frac{C_7}{|\mathbf{v}_{11}(x)|}, \tag{4.31}$$

for some constant  $C_7$ .

By (4.18) we already know that the ratio  $|\mathbf{v}_{12}|/|\mathbf{v}_{11}|$  approaches zero as  $\varepsilon \rightarrow 0$ . Moreover, by (4.6) and (4.20) one has

$$\mathbf{v}_{21}(x) = C_\varepsilon \mathbf{v}_{11}(x) \quad \text{for some constant } C_\varepsilon > 0.$$

Therefore, in view of (4.31), we can conclude that (4.2) holds true provided that

$$\lim_{\varepsilon \rightarrow 0^+} \frac{\int_{\varepsilon^\alpha}^1 r^{d-1} \varphi(r) dr}{\int_{2\varepsilon}^{\varepsilon^\alpha} r^{d-1} \varphi(r) dr} = 0. \quad (4.32)$$

We show that (4.32) is satisfied if  $\varphi$  satisfies the assumption **(A2')**. Indeed, let  $\beta > \frac{1}{2}$  be as in **(A2')** and choose  $\frac{1}{2} < \alpha < \beta$ . Setting  $\tilde{\varepsilon} = 2\varepsilon$ , we have  $\varepsilon^\alpha > \tilde{\varepsilon}^\beta$  if  $\varepsilon$  is sufficiently small. Consequently,

$$\int_{\varepsilon^\alpha}^1 r^{d-1} \varphi(r) dr \leq \int_{\tilde{\varepsilon}^\beta}^1 r^{d-1} \varphi(r) dr \quad \text{and} \quad \int_{2\varepsilon}^{\varepsilon^\alpha} r^{d-1} \varphi(r) dr \geq \int_{\tilde{\varepsilon}}^{\tilde{\varepsilon}^\beta} r^{d-1} \varphi(r) dr.$$

On the other hand, as in the proof of Lemma 1, it holds

$$\lim_{r \rightarrow 0^+} \int_r^1 s^{d-1} \varphi(s) ds = +\infty.$$

Using L'Hopital's rule and the assumption **(A2')**, we obtain

$$\lim_{\tilde{\varepsilon} \rightarrow 0^+} \frac{\int_{\tilde{\varepsilon}^\beta}^1 r^{d-1} \varphi(r) dr}{\int_{\tilde{\varepsilon}}^1 r^{d-1} \varphi(r) dr} = \lim_{\tilde{\varepsilon} \rightarrow 0} \frac{\tilde{\varepsilon}^{\beta(d-1)} \varphi(\tilde{\varepsilon}^\beta) \cdot (\beta \tilde{\varepsilon}^{\beta-1})}{\tilde{\varepsilon}^{d-1} \cdot \varphi(\tilde{\varepsilon})} = \beta \cdot \lim_{\tilde{\varepsilon} \rightarrow 0} \frac{\tilde{\varepsilon}^{\beta d} \cdot \varphi(\tilde{\varepsilon}^\beta)}{\tilde{\varepsilon}^d \cdot \varphi(\tilde{\varepsilon})} = 0.$$

This implies

$$\lim_{\varepsilon \rightarrow 0^+} \frac{\int_{\varepsilon^\alpha}^1 r^{d-1} \varphi(r) dr}{\int_{2\varepsilon}^{\varepsilon^\alpha} r^{d-1} \varphi(r) dr} \leq \lim_{\tilde{\varepsilon} \rightarrow 0^+} \frac{\int_{\tilde{\varepsilon}^\beta}^1 r^{d-1} \varphi(r) dr}{\int_{\tilde{\varepsilon}}^1 r^{d-1} \varphi(r) dr} = 0,$$

proving (4.32). □

**Corollary 2.** *Consider a family of compact  $\mathcal{C}^2$  surfaces  $\Sigma(t)$ , continuously depending on  $t \in [0, T]$ , with uniformly bounded curvature. Define the vector fields  $\mathbf{v}(t, \cdot)$  as in (3.16). Then for any  $N, \varepsilon > 0$  there exists  $\delta > 0$  such that, for all  $t \in [0, T]$ ,*

$$\begin{aligned} d(x, \Sigma(t)) \leq \delta & \implies |\mathbf{v}(t, x)| \geq N, \\ d(x, \Sigma(t)) \leq \delta & \implies \left| \frac{\mathbf{v}(t, x)}{|\mathbf{v}(t, x)|} - \frac{x - \pi(t, x)}{|x - \pi(t, x)|} \right| \leq \varepsilon. \end{aligned} \quad (4.33)$$

Indeed, the proof of Lemma 2 shows that the limits (4.1)-(4.2) are uniformly valid over a family of surfaces  $\Sigma(t)$  with uniformly bounded curvature.

## 5 Proof of Theorem 3.

Relying on Lemma 2, we can now give a proof of the convergence to the sweeping process, stated in (1.16). We recall that this sweeping process keeps all trajectories inside a moving compact set  $V(t) \subset \mathbb{R}^d$  with smooth boundary  $\Sigma(t)$ . To fix the ideas, we assume that this set is defined in terms of a  $\mathcal{C}^2$  function  $\psi$ , as in (1.10)-(1.11). The argument relies on three main properties.

**(P1)** There exists a radius  $\rho_0 > 0$  such that, if  $t \in [0, T]$  and  $d(x, \Sigma(t)) \leq \rho_0$ , then the perpendicular projection  $\pi(t, x)$  of  $x$  on  $\Sigma(t)$  is well defined. In this case we denote by  $\mathbf{n}(t, x)$  the unit normal vector to  $\Sigma(t)$  at the point  $\pi(t, x)$ , as in (3.15)

**(P2)** Setting

$$\mathbf{v}(t, x) \doteq \int_{\Sigma(t)} \varphi(|x - \xi|) \frac{x - \xi}{|x - \xi|} d\sigma(\xi), \quad (5.1)$$

for any  $\delta > 0$  the solution  $t \mapsto x^\delta(t)$  to

$$\dot{x} = \delta \mathbf{v}(t, x), \quad x(0) = x_0. \quad (5.2)$$

satisfies  $x^\delta(t) \in V(t)$ , for every  $x_0 \in \text{int}(V(0))$  and  $t \in [0, T]$ .

To see why this is true, assume  $d(x^\delta(t), \Sigma(t)) < \rho_0$  and consider the unit normal vector

$$\mathbf{n}(t, x^\delta) \doteq \frac{x^\delta(t) - \pi(t, x^\delta(t))}{|x^\delta(t) - \pi(t, x^\delta(t))|}. \quad (5.3)$$

Then

$$\frac{d}{dt} \left( d(x^\delta(t), \Sigma(t)) \right) \geq \left\langle \delta \mathbf{v}(t, x^\delta(t)), \mathbf{n}(t, x^\delta) \right\rangle - L_\Sigma,$$

where  $L_\Sigma$  is a Lipschitz constant for the multifunction  $t \mapsto \Sigma(t)$ . By (4.1)-(4.2), it follows that

$$\langle \mathbf{v}(t, y), \mathbf{n}(t, y) \rangle \rightarrow +\infty \quad \text{as} \quad d(y, \Sigma(t)) \rightarrow 0.$$

Hence the distance  $d(x^\delta(t), \Sigma(t))$  remains uniformly positive in time, for every fixed  $\delta > 0$  and all initial points  $x_0$  at a uniformly positive distance from  $\Sigma(0)$ .

Finally, by the properties (1.1) of  $\varphi$  it follows

**(P3)** For every  $0 < \varepsilon < \frac{1}{4}$ , by choosing  $0 < \delta < \delta_0 < \varepsilon$  sufficiently small, for every  $x \in V(t)$  one has the implication

$$0 < d(x, \Sigma(t)) \leq \delta_0 \quad \Longrightarrow \quad \left| \frac{\mathbf{v}(t, x)}{|\mathbf{v}(t, x)|} - \frac{x - \pi(t, x)}{|x - \pi(t, x)|} \right| < \varepsilon. \quad (5.4)$$

$$d(x, \Sigma(t)) > \delta_0 \quad \Longrightarrow \quad \delta |\mathbf{v}(t, x)| < \varepsilon. \quad (5.5)$$

Indeed, (5.4) follows from Corollary 2 and the properties (1.11) of the function  $\psi$ , defining the boundary  $\Sigma(t)$ . The implication (5.5) trivially holds, choosing  $\delta > 0$  sufficiently small.

In the following, using the properties **(P1)**–**(P3)**, we estimate the distance between  $x^\delta(t)$  and the solution  $x(t, x_0)$  of the sweeping process (1.12). The proof will be given in several steps.

**1.** For a given initial condition  $x_0 \in \text{int}V(0)$ , let  $t \mapsto x^\delta(t)$  be the solution to

$$\dot{x} = \delta \mathbf{v}(t, x), \quad x(0) = x_0,$$

and let  $t \mapsto x(t)$  be the corresponding solution to the sweeping process driven by the set  $V(t)$ .

For every  $t \in [0, T]$  such that  $d(x(t), \Sigma(t)) \leq \rho_0/2$ , let  $\mathbf{n}(t)$  be the unit normal vector to  $\Sigma(t)$  at the point  $\pi(t, x(t))$ . By the regularity of  $\Sigma(\cdot)$ , we can extend  $\mathbf{n}(\cdot)$  to a Lipschitz function defined on the entire time interval  $[0, T]$ . For simplicity, this extension will still be denoted by  $t \mapsto \mathbf{n}(t)$ .

We now split the difference as

$$w(t) \doteq x^\delta(t) - x(t) = w_1(t) + w_2(t), \quad (5.6)$$

where the vector  $w_1(t)$  is parallel to  $\mathbf{n}(t)$  while  $w_2(t)$  is orthogonal to  $\mathbf{n}(t)$ . Namely,

$$w_1(t) \doteq \theta(t)\mathbf{n}(t), \quad \theta(t) = \langle w(t), \mathbf{n}(t) \rangle, \quad w_2(t) = w(t) - w_1(t). \quad (5.7)$$

For future use, we observe that, if  $d(x^\delta(t), \Sigma(t)) \leq \rho_0$ , then the unit normal vector  $\mathbf{n}(t, x^\delta)$  at (5.3) is well defined and

$$|\mathbf{n}(t) - \mathbf{n}(t, x^\delta)| \leq C_{\mathbf{n}}|w(t)|, \quad (5.8)$$

for a suitable constant  $C_{\mathbf{n}}$ .

Our main goal is to show that  $w(t)$  remains small. This will be achieved by estimating the time derivatives  $\dot{w}_1(t), \dot{w}_2(t)$ , considering two possible alternatives (see Fig. 3).

CASE 1:  $d(x^\delta(t), \Sigma(t)) \geq \delta_0$ .

CASE 2:  $d(x^\delta(t), \Sigma(t)) < \delta_0$ .

We observe that, by the  $\mathcal{C}^2$  regularity of the boundaries  $\Sigma(t) = \partial V(t)$ , there exists a constant  $C_\Sigma$  such that

$$\langle \mathbf{n}(t, x), y - x \rangle \geq -C_\Sigma \cdot |y - x|^2 \quad \text{for all } t \in [0, T], \quad x \in \Sigma(t), \quad y \in V(t). \quad (5.9)$$

In particular,

$$x(t) \in \Sigma(t) \quad \implies \quad \theta(t) \geq -C_\Sigma |w_2(t)|^2. \quad (5.10)$$

**2.** In this step we consider the Case 1:  $d(x^\delta(t), \Sigma(t)) \geq \delta_0$ .

On the interval  $[0, T]$ , let  $L_{\mathbf{n}} \geq 1$  be a Lipschitz constant for the map  $t \mapsto \mathbf{n}(t)$ , and let  $L_\Sigma$  be a Lipschitz constant for the multifunction  $t \mapsto \Sigma(t)$ , w.r.t. the Hausdorff distance. Observe

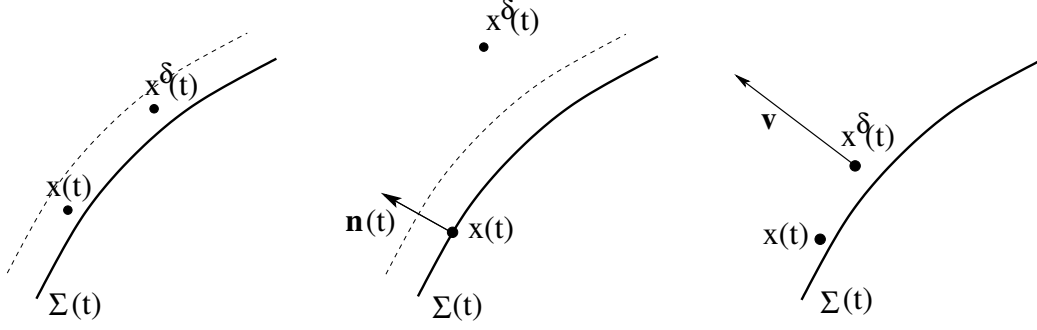


Figure 3: Left and center: the two different cases considered in the proof of Theorem 3, depending on the distance of  $x^\delta(t)$  from the boundary  $\Sigma(t)$ . Right: if  $d(x^\delta(t), \Sigma(t)) < \delta_0$ , then the speed  $\delta \mathbf{v}(t, x^\delta)$  can be very large, and the same is true of  $\theta$ . To handle this situation, we need to insert a weight function  $W$  in our estimates.

that  $L_\Sigma$  provides a common Lipschitz constant for all trajectories  $t \mapsto x(t)$  of the sweeping process.

We claim that

$$\varepsilon + C_2 |w_2(t)| \geq \dot{\theta}(t) \geq \begin{cases} -\varepsilon - L_{\mathbf{n}} |w_2(t)| & \text{if } x(t) \notin \Sigma(t) \\ -C_1 & \text{if } x(t) \in \Sigma(t) \end{cases}, \quad |\dot{w}_2(t)| \leq \varepsilon + C_2 |w(t)|, \quad (5.11)$$

where  $C_1 = 1 + L_\Sigma + L_{\mathbf{n}} \cdot \max_{t \in [0, T]} \{\text{diam}(V(t))\}$  and  $C_2 = 2L_{\mathbf{n}}$ . Indeed, recalling (5.5) and (5.7), we have

$$\begin{aligned} \dot{\theta}(t) &= \langle \dot{w}(t), \mathbf{n}(t) \rangle + \langle w(t), \dot{\mathbf{n}}(t) \rangle \\ &= \langle \dot{x}^\delta(t), \mathbf{n}(t) \rangle - \langle \dot{x}(t), \mathbf{n}(t) \rangle + \theta(t) \langle \mathbf{n}(t), \dot{\mathbf{n}}(t) \rangle + \langle w_2(t), \dot{\mathbf{n}}(t) \rangle \\ &\geq -|\dot{x}^\delta(t)| - |\dot{x}(t)| - |w_2(t)| \cdot |\dot{\mathbf{n}}(t)| \\ &\geq \begin{cases} -\varepsilon - L_{\mathbf{n}} |w_2(t)| & \text{if } x(t) \notin \Sigma(t), \\ -\varepsilon - L_\Sigma - L_{\mathbf{n}} |w_2(t)| & \text{if } x(t) \in \Sigma(t). \end{cases} \end{aligned} \quad (5.12)$$

Moreover,

$$\dot{\theta}(t) \leq \langle \dot{x}^\delta(t), \mathbf{n}(t) \rangle - \langle \dot{x}(t), \mathbf{n}(t) \rangle + |w_2(t)| \cdot |\dot{\mathbf{n}}(t)| \leq \varepsilon + L_{\mathbf{n}} |w_2(t)|. \quad (5.13)$$

Together, (5.12)-(5.13) yield the upper and lower bounds on  $\dot{\theta}$  in (5.11).

Next, by (5.7) one has

$$\begin{aligned} |\dot{w}_2(t)| &= \left| \frac{d}{dt} [w(t) - w_1(t)] \right| = \left| \frac{d}{dt} [w(t) - \langle w(t), \mathbf{n}(t) \rangle \mathbf{n}(t)] \right| \\ &= \left| \dot{w}(t) - \langle \dot{w}(t), \mathbf{n}(t) \rangle \mathbf{n}(t) - \langle w(t), \dot{\mathbf{n}}(t) \rangle \mathbf{n}(t) - \langle w(t), \mathbf{n}(t) \rangle \dot{\mathbf{n}}(t) \right| \\ &\leq \left| \dot{w}(t) - \langle \dot{w}(t), \mathbf{n}(t) \rangle \mathbf{n}(t) \right| + 2L_{\mathbf{n}} |w(t)|. \end{aligned}$$

Since  $\dot{x}(t)$  is either zero or parallel to  $\mathbf{n}(t)$ , by (5.5) it follows

$$\left| \dot{w}(t) - \langle \dot{w}(t), \mathbf{n}(t) \rangle \mathbf{n}(t) \right| = \left| \delta \mathbf{v}(t, x^\delta(t)) - \dot{x}(t) - \langle \delta \mathbf{v}(t, x^\delta(t)) - \dot{x}(t), \mathbf{n}(t) \rangle \mathbf{n}(t) \right|$$

$$\begin{aligned}
&= |\delta \mathbf{v}(t, x^\delta(t)) - \langle \delta \mathbf{v}(t, x^\delta(t)), \mathbf{n}(t) \rangle \mathbf{n}(t)| \\
&\leq |\delta \mathbf{v}(t, x^\delta(t))| \leq \varepsilon.
\end{aligned}$$

This implies the second inequality in (5.11). In particular, if  $x(t) \in \text{int}(V(t))$  then  $\dot{x}(t) = 0$  and

$$\frac{d}{dt}|w(t)| \leq 3(\varepsilon + \mathbf{L}_n |w(t)|). \quad (5.14)$$

**3.** In this step we consider Case 2:  $d(x^\delta(t), \Sigma(t)) < \delta_0$ . As long as

$$|w(t)| \leq \frac{1}{4C_n}, \quad (5.15)$$

we claim that

$$\begin{aligned}
\theta(t) &\leq \delta_0 + C_3(\delta_0 + |w(t)|)^2, \quad (5.16) \\
\dot{\theta}(t) &\geq \begin{cases} -L_n |w_2(t)| & \text{if } x(t) \notin \Sigma(t), \\ -C_1 & \text{if } x(t) \in \Sigma(t), \end{cases} \quad |\dot{w}_2(t)| \leq C_4(\varepsilon + |w(t)|)(1 + |\dot{\theta}(t)|), \quad (5.17)
\end{aligned}$$

for some constants  $C_3, C_4$ .

Notice that, if  $d(x(t), \Sigma(t)) \geq \rho_0/2$ , we then have  $|w(t)| \geq \rho_0/4$ , because without loss of generality we can assume  $\delta_0 < \varepsilon < \rho_0/4$ . In this case the estimate (5.16) is trivially satisfied, by choosing a constant  $C_3$  large enough.

In the following, we thus assume  $d(x(t), \Sigma(t)) \geq \rho_0/2$ , so that the projection  $\pi(t, x(t))$  is well defined. Recalling (5.6)–(5.9) we obtain

$$\begin{aligned}
\theta(t) &= \langle x^\delta(t) - x(t), \mathbf{n}(t) \rangle = \langle x^\delta(t) - \pi(t, x^\delta), \mathbf{n}(t) \rangle + \langle \pi(t, x^\delta) - x(t), \mathbf{n}(t) \rangle \\
&\leq |x^\delta(t) - \pi(t, x^\delta)| + \langle \pi(t, x^\delta) - x(t), \mathbf{n}(t) \rangle \\
&\leq \delta_0 + \langle \pi(t, x^\delta) - x(t), \mathbf{n}(t) - \mathbf{n}(t, x^\delta) \rangle + \langle \pi(t, x^\delta) - x(t), \mathbf{n}(t, x^\delta) \rangle \\
&\leq \delta_0 + C_n |w(t)| |\pi(t, x^\delta) - x(t)| + C_\Sigma |\pi(t, x^\delta) - x(t)|^2 \\
&\leq \delta_0 + C_n |w(t)| (\delta_0 + |w(t)|) + C_\Sigma (\delta_0 + |w(t)|)^2 \\
&\leq \delta_0 + C_3 (\delta_0 + |w(t)|)^2
\end{aligned}$$

for a suitable constant  $C_3$ . This implies (5.16).

Using (5.4), the time derivative  $\dot{\theta}$  can be estimated as

$$\begin{aligned}
\dot{\theta}(t) &= \langle \dot{w}(t), \mathbf{n}(t) \rangle + \langle w(t), \dot{\mathbf{n}}(t) \rangle \geq \langle \dot{x}^\delta(t), \mathbf{n}(t) \rangle - |\dot{x}(t)| - |w_2(t)| |\dot{\mathbf{n}}(t)| \\
&= \delta |\mathbf{v}(t, x^\delta)| \left\langle \left( \frac{\mathbf{v}(t, x^\delta)}{|\mathbf{v}(t, x^\delta)|} - \mathbf{n}(t, x^\delta) \right) + \left( \mathbf{n}(t, x^\delta) - \mathbf{n}(t) \right) + \mathbf{n}(t), \mathbf{n}(t) \right\rangle \\
&\quad - |\dot{x}(t)| - |w_2(t)| |\dot{\mathbf{n}}(t)| \quad (5.18) \\
&\geq \begin{cases} \delta |\mathbf{v}(t, x^\delta)| \left( -\varepsilon - C_n |w(t)| + 1 \right) - L_n |w_2(t)| & \text{if } x(t) \notin \Sigma(t), \\ \delta |\mathbf{v}(t, x^\delta)| \left( -\varepsilon - C_n |w(t)| + 1 \right) - L_\Sigma - L_n |w_2(t)| & \text{if } x(t) \in \Sigma(t). \end{cases}
\end{aligned}$$

As long as (5.15) holds, we have  $1 - \varepsilon - C_{\mathbf{n}}|w(t)| \geq \frac{1}{2}$ . This already yields the first inequality in (5.17). From (5.18) we also deduce

$$|\delta \mathbf{v}(t, x^\delta)| \leq 2(C_1 + |\dot{\theta}(t)|). \quad (5.19)$$

In turn, this yields

$$\begin{aligned} |\dot{w}_2(t)| &\leq \left| \delta \mathbf{v}(t, x^\delta) - \langle \delta \mathbf{v}(t, x^\delta), \mathbf{n}(t) \rangle \mathbf{n}(t) \right| + 2L_{\mathbf{n}} |w(t)| \\ &\leq |\delta \mathbf{v}(t, x^\delta)| \left| \frac{\mathbf{v}(t, x^\delta)}{|\mathbf{v}(t, x^\delta)|} - \mathbf{n}(t) \right| + 2L_{\mathbf{n}} |w(t)| \\ &\leq |\delta \mathbf{v}(t, x^\delta)| \left\{ \left| \frac{\mathbf{v}(t, x^\delta)}{|\mathbf{v}(t, x^\delta)|} - \mathbf{n}(t, x^\delta) \right| + \left| \mathbf{n}(t, x^\delta) - \mathbf{n}(t) \right| \right\} + 2L_{\mathbf{n}} |w(t)| \\ &\leq |\delta \mathbf{v}(t, x^\delta)| (\varepsilon + C_{\mathbf{n}}|w(t)|) + 2L_{\mathbf{n}} |w(t)| \\ &\leq 2(C_1 + |\dot{\theta}(t)|) (\varepsilon + C_{\mathbf{n}}|w(t)|) + 2L_{\mathbf{n}} |w(t)|, \end{aligned}$$

establishing the second inequality in (5.17).

4. In this step we prove that there exists a constant  $C_5 > 0$  such that, for every  $t \in [0, T]$ ,

$$|\theta(t)| \leq C_5(\varepsilon + \tilde{w}_2(t)), \quad (5.20)$$

where

$$\tilde{w}_2(t) \doteq \max_{s \in [0, t]} |w_2(s)| \quad \text{for all } t \in [0, T]. \quad (5.21)$$

Notice that, if  $x(t) \in \Sigma(t)$ , then (5.10) applies. Let us assume that  $x(t) \notin \Sigma(t)$  and define the time

$$t_0 \doteq \inf\{s \in [0, t] ; x(r) \notin \Sigma(r) \text{ for all } r \in [s, t]\}.$$

From (5.11) and (5.17) it follows

$$\dot{\theta}(s) \geq -\varepsilon - L_{\mathbf{n}}|w_2(s)| \geq -\varepsilon - L_{\mathbf{n}}\tilde{w}_2(s) \quad \text{for a.e. } s \in [t_0, t].$$

This yields

$$\begin{aligned} \theta(t) &= \theta(t_0) + \int_{t_0}^t \dot{\theta}(s) ds \geq \theta(t_0) - \int_{t_0}^t \varepsilon + L_{\mathbf{n}}\tilde{w}_2(s) ds \\ &\geq -C_{\Sigma} |w_2(t_0)|^2 - T(\varepsilon + L_{\mathbf{n}}\tilde{w}_2(t)) \geq -C_{\Sigma} \tilde{w}_2^2(t) - T(\varepsilon + L_{\mathbf{n}}\tilde{w}_2(t)). \end{aligned}$$

As long as  $\tilde{w}_2(t) < 1$ , recalling that  $L_{\mathbf{n}} \geq 1$  we have

$$\theta(t) \geq -(C_{\Sigma} + TL_{\mathbf{n}}) \cdot (\varepsilon + \tilde{w}_2(t)).$$

Thus, to obtain (5.20), one only needs to consider the case where  $\theta(t) > 0$ . Observe that, if  $d(x^\delta(t), \Sigma(t)) \leq \delta_0$ , as long as

$$\varepsilon + |w(t)| \leq \frac{1}{2C_3}, \quad (5.22)$$

from (5.16) it follows

$$\theta(t) \leq \tilde{C}_5(\varepsilon + \tilde{w}_2(t)) \quad (5.23)$$

for some constant  $\tilde{C}_5$ .

We claim that (5.20) holds, for a suitable constant  $C_5$ . Indeed, consider the time

$$t_1 \doteq \inf \left\{ s \in [0, t]; \theta(r) > 0 \quad \text{and} \quad d(x^\delta(r), \Sigma(r)) > \delta_0 \quad \text{for all } r \in (s, t) \right\}.$$

We then have  $\theta(t_1) \leq \tilde{C}_5(\varepsilon + \tilde{w}_2(t))$ . Therefore, by (5.23) and (5.11) it follows

$$\begin{aligned} \theta(t) &= \theta(t_1) + \int_{t_1}^t \dot{\theta}(s) ds \leq \tilde{C}_5(\varepsilon + \tilde{w}_2(t_1)) + \int_{t_1}^t (\varepsilon + C_2|w_2(s)|) ds \\ &\leq \tilde{C}_5(\varepsilon + \tilde{w}_2(t)) + \int_{t_1}^t (\varepsilon + C_2\tilde{w}_2(t)) ds \leq C_5(\varepsilon + \tilde{w}_2(t)). \end{aligned}$$

**5.** In the following we shall assume  $|w(t)| \leq \rho_0/3$  for all  $t \in [0, T]$ . In this case, when  $d(x(t), \Sigma(t)) > \rho_0$  we have  $\dot{x}(t) = 0$ ,  $|\dot{x}^\delta(t)| < \varepsilon$  and the estimates are trivial. Without loss of generality, we can thus assume that the normal vectors  $\mathbf{n}(t)$  and  $\mathbf{n}(t, x^\delta)$  are well defined.

For a suitable constant  $\kappa$  (to be determined later), define the weight

$$W(t) \doteq \begin{cases} \exp \left\{ -\kappa d(x^\delta(t), \Sigma(t)) \right\} & \text{if } d(x^\delta(t), \Sigma(t)) \leq \delta_0, \\ \exp \{-\kappa\delta_0\} & \text{if } d(x^\delta(t), \Sigma(t)) \geq \delta_0. \end{cases} \quad (5.24)$$

We now analyze how the weighted distance

$$\Lambda(t) \doteq |\theta(t)| + W(t) \tilde{w}_2(t)$$

changes in time. The heart of the matter is to provide a bound on  $\tilde{w}_2$ . Indeed, by (5.20) the component  $w_1(t) = \theta(t)\mathbf{n}(t)$  can be bounded in terms of  $\tilde{w}_2(t)$ .

**6.** At any point  $t \in [0, T]$  where  $w_2(\cdot)$  is differentiable, by the definition of  $\tilde{w}_2$  it follows

$$0 \leq \frac{d}{dt} \tilde{w}_2(t) \leq |\dot{w}_2(t)|. \quad (5.25)$$

We first consider Case 1, where  $d(x^\delta(t), \Sigma(t)) \geq \delta_0$ . By (5.11) and (5.20), we have that  $\dot{W}(t) = 0$  and

$$|\dot{w}_2(t)| \leq \varepsilon + C_2|w(t)| \leq C_2(\varepsilon + |\theta(t)| + \tilde{w}_2(t)) \leq C_2(1 + C_5)(\varepsilon + \tilde{w}_2(t)).$$

Therefore, (5.25) yields

$$\frac{d}{dt} (W(t)\tilde{w}_2(t)) = W(t) \frac{d}{dt} \tilde{w}_2(t) \leq W(t) \cdot |\dot{w}_2(t)| \leq C_2(1 + C_5)W(t)(\varepsilon + \tilde{w}_2(t)).$$



On the other hand, in the case where  $d(x^\delta(t), \Sigma(t)) < \delta_0$ , by (5.17) and (5.20) one has

$$\begin{aligned}
|\dot{w}_2(t)| &\leq C_4(\varepsilon + |w(t)|)(1 + |\dot{\theta}(t)|) \\
&\leq C_4(\varepsilon + |\theta(t)| + \tilde{w}_2(t))(1 + |\dot{\theta}(t)|) \leq (C_4 + C_4C_5)(\varepsilon + \tilde{w}_2(t))(1 + |\dot{\theta}(t)|) \\
&\leq \begin{cases} (C_4 + C_4C_5)(1 + C_1)(\varepsilon + \tilde{w}_2(t)) & \text{if } \dot{\theta}(t) \leq 0, \\ (C_4 + C_4C_5)(\varepsilon + \tilde{w}_2(t))(1 + \dot{\theta}(t)) & \text{if } \dot{\theta}(t) > 0, \end{cases} \\
&\leq \begin{cases} C_6(\varepsilon + \tilde{w}_2(t)) & \text{if } \dot{\theta}(t) \leq 0, \\ C_6(\varepsilon + \tilde{w}_2(t))(1 + \dot{\theta}(t)) & \text{if } \dot{\theta}(t) > 0, \end{cases}
\end{aligned}$$

By (5.25), for a.e.  $t \in [0, T]$  one has

$$\frac{d}{dt} \tilde{w}_2(t) \leq \begin{cases} C_6(\varepsilon + \tilde{w}_2(t)) & \text{if } \dot{\theta}(t) \leq 0, \\ C_6(\varepsilon + \tilde{w}_2(t))(1 + \dot{\theta}(t)) & \text{if } \dot{\theta}(t) > 0. \end{cases} \quad (5.26)$$

As long as  $\varepsilon + |w(t)| \leq \frac{1}{2C_1(2C_n + C_4)}$ , from (5.8), (5.12), and (5.19), it follows

$$\begin{aligned}
\frac{d}{dt} d(x^\delta(t), \Sigma(t)) &\geq \langle \delta \mathbf{v}(t, x^\delta(t)), \mathbf{n}(t, x^\delta) \rangle - L_\Sigma \\
&\geq \langle \delta \mathbf{v}(t, x^\delta(t)), \mathbf{n}(t) \rangle - C_n |w(t)| \cdot |\delta \mathbf{v}(t, x^\delta(t))| - L_\Sigma \\
&\geq \langle \dot{w}_1(t), \mathbf{n}(t) \rangle + \langle \dot{w}_2(t), \mathbf{n}(t) \rangle + \langle \dot{x}(t), \mathbf{n}(t) \rangle - C_n |w(t)| \cdot |\delta \mathbf{v}(t, x^\delta(t))| - L_\Sigma \\
&\geq \dot{\theta}(t) - |\dot{w}_2(t)| - C_n |w(t)| \cdot |\delta \mathbf{v}(t, x^\delta(t))| - L_\Sigma \\
&\geq \dot{\theta}(t) - C_4(\varepsilon + |w(t)|) \cdot (1 + |\dot{\theta}(t)|) - 2C_n |w(t)| \cdot (C_1 + |\dot{\theta}(t)|) - L_\Sigma \\
&\geq \dot{\theta}(t) - (2C_n + C_4)(\varepsilon + |w(t)|) \cdot (C_1 + |\dot{\theta}(t)|) - L_\Sigma \geq \frac{1}{2} \dot{\theta}(t) - C_7
\end{aligned}$$

for some constant  $C_7 > 0$ . Inserting the weight, we now estimate

$$\begin{aligned}
\frac{d}{dt} (W(t) \tilde{w}_2(t)) &= -\kappa W(t) \tilde{w}_2(t) \cdot \frac{d}{dt} d(x^\delta(t), \Sigma(t)) + W(t) \cdot \frac{d}{dt} \tilde{w}_2(t) \\
&\leq -\frac{\kappa}{2} W(t) \tilde{w}_2(t) \dot{\theta}(t) + \kappa C_7 W(t) \tilde{w}_2(t) + W(t) \cdot \frac{d}{dt} \tilde{w}_2(t).
\end{aligned}$$

Two cases can occur:

- If  $\dot{\theta}(t) \leq 0$ , then (5.26) and (5.17) yield

$$\frac{d}{dt} (W(t) \tilde{w}_2(t)) \leq C_8 W(t) (\varepsilon + \tilde{w}_2(t))$$

for some constant  $C_8$ .

- If  $\dot{\theta}(t) > 0$ , then (5.26) yields

$$\begin{aligned}
\frac{d}{dt} (W(t)\tilde{w}_2(t)) &\leq -\frac{\kappa}{2}W(t)\tilde{w}_2(t)\dot{\theta}(t) + W(t) \cdot \frac{d}{dt}\tilde{w}_2(t) + \kappa C_7 W(t)\tilde{w}_2(t) \\
&\leq -\frac{\kappa}{2}W(t)\tilde{w}_2(t)\dot{\theta}(t) + C_6 W(t)(\varepsilon + \tilde{w}_2(t))(1 + \dot{\theta}(t)) + \kappa C_7 W(t)\tilde{w}_2(t) \\
&\leq W(t) \cdot \left[ \left( -\frac{\kappa}{2}\tilde{w}_2(t) + C_6 \cdot (\varepsilon + \tilde{w}_2(t)) \right) \cdot \dot{\theta}(t) + (C_6 + \kappa C_7)(\varepsilon + \tilde{w}_2(t)) \right].
\end{aligned}$$

We now choose the constant  $\kappa$  in (5.24) so that

$$\frac{\kappa}{2} \geq 2C_6.$$

In this case, either  $\tilde{w}_2(t) < \varepsilon$ , or else

$$\begin{aligned}
\frac{d}{dt} (W(t)\tilde{w}_2(t)) &\leq W(t) \cdot \left[ \left( -\frac{\kappa}{2} + 2C_6 \right) \dot{\theta}(t)\tilde{w}_2(t) + (C_6 + \kappa C_7)(\varepsilon + \tilde{w}_2(t)) \right] \\
&\leq W(t) (C_6 + \kappa C_7)(\varepsilon + \tilde{w}_2(t)).
\end{aligned} \tag{5.27}$$

Combining both Cases 1 and 2, we obtain that either  $\tilde{w}_2(t) \leq \varepsilon$  or else

$$\frac{d}{dt} (W(t)\tilde{w}_2(t)) \leq C_9 W(t)\tilde{w}_2(t), \tag{5.28}$$

provided that

$$\varepsilon + |\theta(t)| + \tilde{w}_2(t) \leq \min \left\{ \frac{1}{4}, \frac{1}{4C_n}, \frac{1}{2C_3}, \frac{1}{2C_1(2C_n + C_4)}, \frac{\rho_0}{3} \right\}. \tag{5.29}$$

**7.** To complete the argument, consider the time

$$\bar{t} \doteq \sup \left\{ \tau \in [0, T]; (5.29) \text{ holds for all } t \in [0, \tau] \right\}.$$

Since  $\tilde{w}_2(t)$  is continuous and non-decreasing, there exists  $t_\varepsilon \in [0, \bar{t}]$  such that

$$\begin{cases} \tilde{w}_2(t) \leq \varepsilon & \text{for all } t \in [0, t_\varepsilon], \\ \tilde{w}_2(t) > \varepsilon & \text{for all } t \in ]t_\varepsilon, \bar{t}]. \end{cases}$$

Hence (5.28) implies

$$W(t)\tilde{w}_2(t) \leq e^{C_9(t-t_\varepsilon)} W(t_\varepsilon)\tilde{w}_2(t_\varepsilon) \quad \text{for all } t_\varepsilon \leq t \leq \bar{t}.$$

Since  $e^{-\kappa\delta_0} \leq W(t) \leq 1$ , we have

$$\tilde{w}_2(t) \leq \exp(C_9 T + \kappa \cdot \delta_0) \cdot \varepsilon \quad \text{for all } t \in [0, \bar{t}].$$

Recalling (5.20), we obtain

$$|\theta(t)| \leq C_5 [\exp(C_9 T + \kappa \cdot \delta_0) + 1] \cdot \varepsilon \quad \text{for all } t \in [0, \bar{t}].$$

This yields

$$\varepsilon + |\theta(t)| + \tilde{w}_2(t) \leq C_{10} \varepsilon \quad \text{for all } t \in [0, \bar{t}],$$

where

$$C_{10} = (1 + C_5) [\exp(C_9 T + \kappa \cdot \delta_0) + 1].$$

Therefore, for any  $\varepsilon > 0$  such that

$$\varepsilon < \frac{1}{C_{10}} \min \left\{ \frac{1}{4}, \frac{1}{4C_n}, \frac{1}{2C_3}, \frac{1}{2C_1(2C_n + C_4)}, \frac{\rho_0}{3} \right\},$$

we conclude that

$$\bar{t} = T, \quad |w(t)| \leq |\theta(t)| + \tilde{w}_2(t) \leq C_{10} \varepsilon \quad \text{for all } t \in [0, T]. \quad (5.30)$$

**8.** The previous analysis has shown that, by choosing  $\delta > 0$  small enough, the sweeping process can be arbitrarily well approximated by the evolution generated by the vector field  $\delta \mathbf{v}(t, x)$ . Repeating the argument in step **3** of the proof of Theorem 2, we now construct a control function  $t \mapsto \xi(t)$  such that trajectories of the ODE

$$\dot{x}(t) = \varphi(|x - \xi(t)|) \frac{x - \xi(t)}{|x - \xi(t)|}$$

approximate the trajectories of  $\dot{x} = \delta \mathbf{v}(t, x)$ , uniformly for  $t \in [0, T]$  and for all initial data in the compact set  $\Omega_0 \subset \mathbb{R}^d \setminus \Sigma(0)$ . This completes the proof.  $\square$

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